

# **2020 Annual Action Plan**

#### **Collier County**

Community and Human Services Division 3339 East Tamiami Trail Health and Public Services Building H, Room 211 Naples, Florida 34112

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## **Executive Summary**

## AP-05 Executive Summary - 24 CFR 91.200(c), 91.220(b)

#### 1. Introduction

Collier County is an entitlement jurisdiction eligible to receive community planning and development funding from the U.S. Department of Housing and Urban Development (HUD). As such, HUD requires that the County develop a Five-Year Consolidated Plan to identify its housing and community development priorities and outline a strategy to implement HUD programs. HUD also requires that the County prepare an Annual Action Plan showing the objectives the County plans to achieve each year. Collier County prepared its 2016-2020 Five-Year Consolidated Plan in 2016. This FY 2020-2021 Action Plan outlines goals, objectives, and projects for the fifth program year covered by the current Consolidated Plan. It also serves as the County's application to HUD, requesting its allocation of Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) funds.

HUD released Collier County's FY 2020 allocations, showing that the County will receive \$2,736,898 in CDBG funds; \$731,113 in HOME funds; and \$205,067 in ESG funds.

The goal of the community planning and development programs covered by this Annual Action Plan is to develop viable communities by providing decent housing, a suitable living environment, and expanding economic opportunities principally for people with low- and moderate-incomes. The County made funding determinations for specific projects during FY 2020-2021 based on input from residents, non-profit and social service agencies that serve low- and moderate-income residents, municipalities including the City of Naples, and other stakeholders. Input was collected during the planning processes for the 2020-2021 Action Plan and the 2016-2020 Consolidated Plan.

#### 2. Summarize the objectives and outcomes identified in the Plan

Goals, projects, and objectives outlined in this 2020-2021 Action Plan are based on the goals developed during the planning process for the 2016-2020 Consolidated Plan, and recently as a result of community needs related to the coronavirus pandemic. Specific projects and objectives anticipated for the upcoming program year include:

- Public infrastructure improvements including crosswalk improvements on Goodlette Road in the River Park neighborhood and Peters Avenue sidewalk improvements in East Naples .
- Facility improvements including the construction of the Esperanza Place Community Center project and construction of a new fire station in Immokalee. The Community Center will serve as a safe, structured environment for individuals of all ages, abilities and backgrounds to learn, play and engage with the community around them.

- Public services to provide legal services to 150 victims of domestic violence, housing counseling for 180 LMI persons, tutoring and mentoring for 160 at-risk children and youth, and transportation for 20 persons with disabilities.
- Construction, acquisition, and rehabilitation of affordable housing and tenant based rental assistance (TBRA) for low- and moderate-income households for a total of 60 households.
- Shelter operations support to provide emergency and transitional housing for victims of domestic abuse.
- Rapid rehousing for 18 households.
- Homeless Management Information System (HMIS) support.
- Expansion of rental and mortgage assistance activities, creation of small business assistance and economic development opportunities to assist individuals, households, and businesses impacted by COVID-19 and health care assistance including PPEs, testing and other needs of health care providers.
- Administration of CDBG, HOME, and ESG programs by Collier County Community and Human Services Division.

#### 3. Evaluation of past performance

Each year, Collier County reports its progress in meeting its five-year and annual goals by preparing a Consolidated Annual Performance Evaluation Report (CAPER). The CAPER is submitted to HUD within 90 days of the start of the new program year. According to Collier County's most recent CAPER (FY 2018-2019), the County assisted 31 households with affordable housing through rental assistance, and the construction, acquisition, and rehabilitation of housing. The County also assisted 1,283 residents through public services; 17,055 residents through facility improvements; and 42,350 through improved public infrastructure using CDBG funds. With ESG funds, the County served 443 persons with emergency housing services and 8 households with rapid re-housing or homelessness prevention as well as increased participation in HMIS. Copies of recent CAPERs are available for review at the Collier County Community and Human Services Division or online at http://www.colliergov.net/your-government/divisions-a-e/community-and-human-services. The FY 2019-2020 CAPER will be available in December 2020.

#### 4. Summary of Citizen Participation Process and consultation process

While preparing the FY 2020-2021 Action Plan, Collier County's CHS Division hosted a public meeting on January 8, 2020 at the Golden Gate Community Center to seek input on the Action Plan from residents and stakeholders. The County also provided information on its application process so that eligible applicants might request funding under the CDBG, HOME, and ESG programs. This meeting and the grant application cycle was advertised in the *Naples Daily News*, the *Immokalee Bulletin*, on the County's website, and through direct email to a master list of local organizations.

The funding application period was open from January 3 through February 10, 2020. During this time CHS provided one-on-one technical assistance to different groups representing low- and moderate-income

residents. The technical assistance meetings were conducted January 22 through January 24, 2020. A Review and Ranking Committee Meeting was held on March 5, 2020 and was also an advertised public meeting.

Public comments on the draft Action Plan were accepted throughout a 30-day comment period from May 23, 2020 through June 23, 2020. The County's Affordable Housing Advisory Committee reviewed and discussed the draft Action Plan at a public meeting on June 1, 2020. A public hearing to receive comments on the Action Plan was held before the Board of County Commissioners on June 23, 2020.

Availability of the draft Action Plan, opening of the comment period, and the public hearing were advertised in the *Naples Daily News*on May 23, 2020 and on the *Immokalee Bulletin*on May 28, 2020. The notice included a summary of the proposed projects and the estimated amount of funding anticipated by the County. The notice stated that the draft Action Plan could be viewed on the County's website at www.colliercountyfl.gov and would also be available for review in all County public libraries and at the Community and Human Services Division's office.

#### 5. Summary of public comments

No public comments were received.

#### 6. Summary of comments or views not accepted and the reasons for not accepting them

Not applicable.

#### 7. Summary

During FY 2020-2021, Collier County anticipates allocating \$3,788,904 (including program income and prior years unallocated resources) in CDBG, HOME, and ESG funding to address the priority needs and goals identified in the 2016-2020 Consolidated Plan. These goals include providing public services; improving public infrastructure and facilities; supporting development of affordable housing for homeownership; supporting rental assistance, emergency housing and services for the homeless; and supporting the Homeless Management Information System (HMIS).

## PR-05 Lead & Responsible Agencies - 91.200(b)

#### 1. Agency/entity responsible for preparing/administering the Consolidated Plan

Describe the agency/entity responsible for preparing the Consolidated Plan and those responsible for administration of each grant program and funding source.

Agency Role	Name	Department/Agency	
CDBG Administrator	COLLIER COUNTY	Community & Human Services Division	
HOME Administrator	COLLIER COUNTY	Community & Human Services Division	
ESG Administrator	COLLIER COUNTY	Community & Human Services Division	

Table 1 - Responsible Agencies

### Narrative (optional)

The Collier County Community & Human Services Division is the lead agency responsible for the development, administration, and review of the 2020-2021 Action Plan and 2016-2020 Consolidated Plan. The Division administers Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) funds received from the U.S. Department of Housing and Urban Development (HUD), and coordinates execution of projects related to the priorities and goals identified in the Consolidated Plan.

#### **Consolidated Plan Public Contact Information**

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## AP-10 Consultation - 91.100, 91.200(b), 91.215(l)

#### 1. Introduction

Collier County conducted significant public outreach to garner input from county staff, government agencies, nonprofit agencies, affordable housing developers and managers, local service providers, the Collier County Housing Authority (CCHA), community residents, and others in preparing this plan. The County held a public meeting and technical assistance workshop to solicit input on potential projects for funding and held one-on-one technical assistance meetings over three days to ensure that all potential applicants for its grant funding application process were fully versed in the requirements and expectations for the funds. In addition, the Review and Ranking Committee Meeting on March 5, 2020, was a public meeting. The County also held a 30-day public comment period from May 23 through June 23, 2020, with a Board of County Commission public hearing on June 23th to receive any public comments on the draft document prior to approval.

Provide a concise summary of the jurisdiction's activities to enhance coordination between public and assisted housing providers and private and governmental health, mental health and service agencies (91.215(I))

Collier County recognizes the importance of public services for people with mental health and substance abuse needs, and the related importance of coordination between public and assisted housing providers and health, mental health, and service agencies.

The County currently contracts with local mental health/substance abuse providers to deliver approximately \$2.4 million in services to these at-risk populations annually. Through a grant from the State of Florida Department of Children and Families, the County receives approximately \$350,000 a year for a Criminal Justice, Mental Health and Substance Abuse grant to support its Forensic Intensive Reintegration Support Team (FIRST), which works with persons who suffer from substance abuse and/or mental illnesses who are re-entering the community from jail. The County also received a separate grant from the Federal Bureau of Justice Assistance for its Adult Drug Court program. This program diverts non-violent offenders with substance abuse and drug-related criminal activity from the criminal justice system by offering intensive rehabilitation, including therapy, medical treatment, and case management. The County received \$95,000 for the Medication Assisted Treatment (MAT) program to create a MAT team to assist those on MAT being released from jail to engage with a treatment provider upon release. This program is staffed by a case manager and a peer specialist.

Beyond support for the mental health/substance abuse population specifically, Collier County provides funds for several projects designed to strengthen the housing-services link through its HUD grant monies, including CDBG funding for Youth Haven shelter facility improvements and ESG funding to support operation of the Shelter for Abused Women and Children.

In addition to enhancing coordination through the use of HUD funds, Collier County encourages dialogue and collaboration between housing and service agencies through the Collier County Hunger & Homeless Coalition, which serves as the lead agency for the County's Continuum of Care. The Hunger & Homeless Coalition holds regular meetings in Naples, and members include more than 60 public and private agencies within the region. Some of the participating organizations include Youth Haven, Career Source Southwest Florida, Salvation Army, David Lawrence Center, United Way of Collier, and Cancer Alliance of Naples.

Describe coordination with the Continuum of Care and efforts to address the needs of homeless persons (particularly chronically homeless individuals and families, families with children, veterans, and unaccompanied youth) and persons at risk of homelessness.

Collier County staff coordinates with the local Continuum of Care managed by the Collier County Hunger & Homelessness Coalition (CCHHC). Community & Human Services staff provide feedback and coordination between the two organizations on an ongoing basis.

During FY 2020-2021, Collier County anticipates several efforts to address the needs of people who are homeless or at risk of homelessness. This includes operating support for the Shelter for Abused Women and Children. Through Legal Aid, the County will also provide legal services for clients impacted by domestic abuse, sexual assault, and child abuse. The County also anticipates providing funds for homelessness prevention through short term rental assistance and rapid re-housing. Using State SHIP funds, the County will assist Wounded Warriors in acquiring rental housing for veterans, while COVID-19 funds will expand rental and mortgage assistance programs to those impacted by the current health crisis.

Describe consultation with the Continuum(s) of Care that serves the jurisdiction's area in determining how to allocate ESG funds, develop performance standards for and evaluate outcomes of projects and activities assisted by ESG funds, and develop funding, policies and procedures for the operation and administration of HMIS

CCHHC's Executive Director was interviewed regarding homeless needs in Collier County to identify priorities for the 2016-2020 Consolidated Plan, which informs the allocation of ESG funds under this Action Plan. Additionally, reports by the CoC including the 2014 Needs & Gap Survey, the 2014 Subpopulation Needs Survey, the Point in Time Homeless Count, and 20/20 Vision: A Clear View of Housing for All Members of Collier Countywere also used in prioritizing homeless needs for ESG funding.

As of May 2015, the Hunger & Homeless Coalition was named as the HMIS Lead for the County. In this role, CCHHC works with Collier County and local organizations to ensure that service usage by client is tracked over time, and that data is shared between agencies to provide a complete look at service delivery in Collier County. CHS staff participate in HMIS trainings to ensure submission of accurate and timely data. HMIS system maintenance and operating support is a need, and Collier County will allocate ESG funding under this Action Plan to provide HMIS support during the program year.

anizations and others who participated ations with housing, social service age

Table 2 – Agencies, groups, organizations who participated

1	Agency/Group/Organization	CITY OF MARCO ISLAND		
	Agency/Group/Organization Type	Other government - Local		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
2	Agency/Group/Organization	CITY OF NAPLES		
	Agency/Group/Organization Type	Other government - Local		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
3	Agency/Group/Organization	EVERGLADES CITY		
	Agency/Group/Organization Type	Other government - Local		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
4	Agency/Group/Organization	Immokalee Unmet Needs Coalition		
	Agency/Group/Organization Type	Housing Services-Children Services-Health Services-Education		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Anti-poverty Strategy		

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
5	Agency/Group/Organization	Bayshore Gateway Triangle CRA		
	Agency/Group/Organization Type	Community Redevelopment Agency Neighborhood Organization		
	What section of the Plan was addressed by Consultation?	Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
6	Agency/Group/Organization	Collier County Child Advocacy Council, Inc.		
	Agency/Group/Organization Type	Services-Children Services-Victims of Domestic Violence		
	What section of the Plan was addressed by Consultation?	Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
7	Agency/Group/Organization	COLLIER COUNTY HOUSING AUTHORITY		
	Agency/Group/Organization Type	РНА		
	What section of the Plan was addressed by Consultation?	Public Housing Needs Homelessness Strategy		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
8	Agency/Group/Organization	COLLIER COUNTY PARKS AND RECREATION		
	Agency/Group/Organization Type	Other government - Local		

	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
9	Agency/Group/Organization	HABITAT FOR HUMANITY OF COLLIER COUNTY
	Agency/Group/Organization Type	Housing
	What section of the Plan was addressed by Consultation?	Affordable Housing
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
10	Agency/Group/Organization	IMMOKALEE CRA
	Agency/Group/Organization Type	Community Redevelopment Agency Neighborhood Organization
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.
11	Agency/Group/Organization	LEGAL AID SERVICE OF COLLIER COUNTY
	Agency/Group/Organization Type	Services-Victims of Domestic Violence
	What section of the Plan was addressed by Consultation?	Non-housing community development needs
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.

12	Agency/Group/Organization	NAACP COLLIER COUNTY		
	Agency/Group/Organization Type	Civic Organization		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
13	Agency/Group/Organization	Residential Options of Florida		
	Agency/Group/Organization Type	Housing Services-Persons with Disabilities		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
14	Agency/Group/Organization	Rural Neighborhoods		
	Agency/Group/Organization Type	Housing		
	What section of the Plan was addressed by Consultation?	Housing Need Assessment Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
15	Agency/Group/Organization	The Shelter for Abused Women and Children		
	Agency/Group/Organization Type	Services-Victims of Domestic Violence Services-homeless		
	What section of the Plan was addressed by Consultation?	Homeless Needs - Families with children Homelessness Strategy		

	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
16	Agency/Group/Organization	Sunrise/United Cerebral Palsy of Southwest Florida		
	Agency/Group/Organization Type	Services-Persons with Disabilities		
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
17	Agency/Group/Organization	YOUTH HAVEN		
	Agency/Group/Organization Type	Services - Housing Services-Children Services-homeless		
	What section of the Plan was addressed by Consultation?	Homelessness Needs - Unaccompanied youth Homelessness Strategy		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
18	Agency/Group/Organization	UNITED WAY		
	Agency/Group/Organization Type	Services - Housing Services-Persons with Disabilities		
	What section of the Plan was addressed by Consultation?	Non-Homeless Special Needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
	improved coordination?			

19	Agency/Group/Organization	Collier County Senior Center		
	Agency/Group/Organization Type	Services-Elderly Persons		
	What section of the Plan was addressed by Consultation?	Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		
20	Agency/Group/Organization	Collier County Stormwater Management Office		
	Agency/Group/Organization Type	Other government - Local		
	What section of the Plan was addressed by Consultation?	Non-housing community development needs		
	Briefly describe how the Agency/Group/Organization was consulted. What are the anticipated outcomes of the consultation or areas for improved coordination?	Collier County invited the agency/organization to attend a public meeting and technical assistance workshop to provide input on this Plan and potential projects/activities.		

#### Identify any Agency Types not consulted and provide rationale for not consulting

Collier County invited more than 50 local and regional agencies to attend the public meeting and technical assistance workshop held on January 8 2020 to learn more about applying for HUD grant funds.

Efforts were made to consult as broad a group of community stakeholders and interested parties as possible. Invitations requesting input on formulation of the Action Plan were extended to housing developers/providers, homeless and other service providers, community organizations, neighborhood associations, real estate agencies, City of Naples staff, and other Collier County divisions. No agency types were knowingly excluded from participation.

## Other local/regional/state/federal planning efforts considered when preparing the Plan

Name of Plan	Lead Organization	How do the goals of your Strategic Plan overlap with the goals of each plan?	
Continuum of Care	Hunger and Homeless Coalition of Collier County	The Continuum of Care outlines strategies for homelessness prevention, rapid re-housing, emergency shelter, transitional housing, and services for youth.	
Collier County Community Housing Plan, Oct 2017	Collier County Affordable Housing Committee	The Community Housing Plan identifies levels of affordable housing need for households making under 140% of Area Median Income and outlines strategies for increasing the production of housing affordable at or below this income level.	
20/20 Vision: Housing for All, Dec 2011	Hunger and Homeless Coalition of Collier County	Goals for 20/20 Vision: A Clear View of Housing for All Members of Collier County that overlap with the Strategic Plan include homelessness prevention, affordable housing, rapid re-housing, emergency shelter, transitional housing and services for youth, and employment assistance services.	
Collier County Housing Authority 2014- 19 PHA Plan	Collier County Housing Authority	Goals described in the PHA Plan include expanding the supply of assisted housing, improving the quality of assisted housing, increasing assisted housing choices, providing an improved living environment, promoting self-sufficiency and personal development of assisted households, and affirmatively furthering fair housing.	
Immokalee Walkable Community Study, Dec 2011	Collier Metropolitan Planning Organization	Findings from the Walkable Community Study indicate a need for improvements in pedestrian and bicycle facilities in Immokalee.	
Collier County MHSA Strategic Plan 2019	Collier County	The MHSA, adopted in 2019, coordinates assistance for those with mental health and substance abuse in the area.	

Table 3 – Other local / regional / federal planning efforts

## AP-12 Participation - 91.105, 91.200(c)

# 1. Summary of citizen participation process/Efforts made to broaden citizen participation Summarize citizen participation process and how it impacted goal-setting

Collier County considers citizen participation a critical component of its planning processes and followed the requirements of its Citizen Participation Plan to conduct a robust engagement process during development of this Action Plan. Collier County residents were offered multiple opportunities, as detailed in the table below, to participate in goal-setting and project selection for the Action Plan. Each meeting was publicly advertised in the *Naples Daily Newsand the Immokalee Bulletin* on the County's website. All participant comments were recorded and considered.

Included in Collier County's outreach were one-on-one technical assistance meetings to be sure any organization interested in applying for HUD grant funds understood the process and expectations going forward. In the meetings, staff reviewed the application process, the County's priorities, eligible activities and projects, national objective achievements, contract development, project implementation phases of the projects, and monitoring parameters. Potential applicants were advised not only about the application process, but also about the sequence of events following the award, contract development, and project implementation.

After the technical assistance sessions, Collier County hosted a public meeting on March 5, 2020 so applicants could brief Collier County's Review and Ranking Committee on the proposed project and use of funds.

This draft Action Plan was available to the public for a 30-day comment period from May 23 through June 23, 2020, with a public hearing before the Board of County Commissioners on June 23, 2020. The public comment period and hearing were advertised in the *Naples Daily News, the Immokalee Bulletin* on the County's website. Residents and other stakeholders were able to provide comments through e-mail, through postal mail, by telephone, or in person.

Copies of the draft Action Plan are available for public review and inspection at the following sites:

- Collier County Community & Human Services website
- Collier County Community & Human Services front desk

Annual Action Plan 2020 • Collier County public library branches, including East Naples, Golden Gate Estates, Golden Gate Regional, Immokalee, Marco Island, Naples Regional - Orange Blossom, Central, Vanderbilt, and South Regional

Dates, times, and locations for the public meeting, training sessions, public presentations by grant applicants, and public hearing are shown below.

#### **Public Meeting & Technical Assistance**

Golden Gate Community Center 4701 Golden Gate Parkway Naples, FL 34116 Wednesday, January 8, 2020 at 3:30 PM

#### 1:1 Technical Assistance Appointments

CHS Division Offices
3339 Tamiami Trail East
Naples, FL 34112
January 22-24, 2020

### **Public Presentations by Grant Applicants**

CHS Division Offices
3339 Tamiami Trail East
Naples, FL 34112
Thursday, March 5, 2020 from 7:30 AM – 5:30 PM

#### **Public Hearing**

County Administration Building 3299 Tamiami Trail East Naples, FL 34112 Tuesday, June 23, 2019 at 9:00 AM

## **Citizen Participation Outreach**

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
1	Internet Outreach	Non-targeted/broad community  Community  Development  Practitioners	Not applicable	None	None	www.colliercountyfl.gov/your- government/divisions-a- e/community-and-human- services
2	Public Meeting and Technical Assistance	Non-targeted/broad community  Residents of Public and Assisted Housing  HUD Grant Applicants; Housing and Service Providers	7 organizations attended	None	None	N/A
3	1:1 Technical Assistance Meetings	HUD Grant Applicants; Housing and Service Providers	27 organizations attended	Discussion of 46 proposed applications	None	N/A

Sort Order	Mode of Outreach	Target of Outreach	Summary of response/ attendance	Summary of comments received	Summary of comments not accepted and reasons	URL (If applicable)
4	Public presentations by Grant applicants	Non-targeted/broad community  HUD Grant Applicants; Housing and Service Providers	24 organizations attended	Presentation of 39 different projects/activities	None	N/A
5	Public Comment Period	Non-targeted/broad community  Community  Development  Practicioners;  Housing and Service  Providers	N/A	None	N/A	N/A
6	Public Meeting/ Comment Period	Non-targeted/broad community  Affordable Housing Advisory Committee (AHAC) meeting; Housing Advocates	N/A	None	None	N/A

**Table 4 – Citizen Participation Outreach** 

## **Expected Resources**

## **AP-15 Expected Resources – 91.220(c)(1,2)**

#### Introduction

Entitlement grant resources totaling \$3,788,904 are anticipated for the FY 2020-2021 to meet underserved needs, foster decent affordable housing, develop institutional structure, and enhance coordination between public and private housing and social service agencies. Along with the County's 2020-2021 annual CDBG, HOME, and ESG allocations, this figure includes \$57,561 in prior year CDBG funds and \$9,851 in prior year HOME funds that will be reprogrammed for use this year. Additionally, this figure includes an estimated \$20,000 in CDBG program income and \$28,414 in HOME program income.

In the prior program year, the County received CDBG-CV and ESG-CV funds to assist eligible individuals and families facing hardships due to the COVID-19 pandemic. CDBG-CV funds were allocated towards economic development activities such as small business loans to assist businesses that have been negatively affected by the COVID-19 pandemic. CDBG-CV funds were also allocated to the purchase of medical equipment such as personal protective equipment and testing. ESG-CV funds were utilized for expanded rapid re-housing and homeless prevention activities. The County continues to assess the effectiveness of these allocated funds and will work to ensure that the funds are utilized to optimal efficiency.

Additional resources available to Collier County in this program year include State Housing Initiative Partnership (SHIP) funding of approximately \$4,000,000 which is used for a wide range of rental housing and homeownership assistance.

This is the final year of the Consolidated Plan planning period. Based on the previous annual allocation amounts, the County expects the upcoming 5-Year Consolidated Planning period CDBG, HOME and ESG allocation funds to remain approximately the same.

Annual Action Plan 2020

## **Anticipated Resources**

Program	Source	Uses of Funds	Expe	cted Amou	nt Available Y	ear 1	Expected	Narrative Description
	of Funds		Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	Amount Available Remainder of ConPlan \$	
CDBG	public -	Acquisition						The CDBG program does not require a
	federal	Admin and Planning						match from non-federal sources
		Economic						however, the program provides a
		Development						portion of the funding needed for the
		Housing						activities to be undertaken and will
		Public Improvements						leverage resources from other sources
		Public Services						including private, state, and local
			2,736,898	20,000	57,561	2,814,459	0	funds.
HOME	public -	Acquisition						The HOME program will leverage
	federal	Homebuyer						additional resources from private,
		assistance						state, and local funds in fulfillment of
		Homeowner rehab						the program's requirement for a 25%
		Multifamily rental						matching contribution from non-
		new construction						federal sources.
		Multifamily rental						
		rehab						
		New construction for						
		ownership						
		TBRA	731,113	28,414	9,851	769,378	0	

Program	Source	Uses of Funds	Expe	cted Amou	nt Available Ye	ear 1	Expected	Narrative Description
	of Funds		Annual Allocation: \$	Program Income: \$	Prior Year Resources: \$	Total: \$	Amount Available Remainder of ConPlan \$	
ESG	public - federal	Conversion and rehab for transitional housing Financial Assistance Overnight shelter Rapid re-housing (rental assistance) Rental Assistance Services Transitional housing	205,067	0	0	205,067	0	The ESG program requires a 100% matching contribution that will come from subrecipients through cash or non-cash contributions.

**Table 5 - Expected Resources - Priority Table** 

# Explain how federal funds will leverage those additional resources (private, state and local funds), including a description of how matching requirements will be satisfied

Projects selected for funding by Collier County leverage additional community resources, including public and private agency funds. Although the CDBG program does not require a match from non-federal sources, monies dedicated to infrastructure improvements capitalize on ongoing revitalization efforts by the Bayshore and Immokalee Community Redevelopment Agencies (CRAs). Facility improvements for nonprofit organizations enhance their ability to provide services to their clients or residents. Public service funds are granted to agencies with additional public and/or private funding streams that provide a variety of services in addition to those supported by CDBG funds. For example, several non-profits receive state funds through the Department of Children and Families to support CDBG-funded programs. In recent years, subrecipients also had large private donors who made cash donations.

Both the HOME and ESG programs have matching funds requirements. The HOME program match will be provided from organizations that receive HOME funding during FY 2020-2021 in in-kind contributions and other non-federal sources to fund the operations supporting the HOME funded program. The County may also satisfy the HOME match through the State Housing Initiative Program (SHIP). The ESG program requires the County provide a 1:1 match. The County plans to fund the Shelter for Abused Women and Children and the subrecipient will provide match through payment of costs associated with shelter operations such as program staff salaries. NAMI's rapid re-housing and the HMIS activity will also provide required match.

# If appropriate, describe publicly owned land or property located within the jurisdiction that may be used to address the needs identified in the plan

Florida Statues Section 125.379, Disposition of County property for affordable housing, requires that counties create an inventory of real property with fee simple title appropriate for affordable housing. Collier County maintains the inventory of County-owned surplus land through its Real Property Division. Locally, the disposition of surplus land is governed by Resolution 2007-172 and Resolution 2010-123, which direct the County to sell the land and use the proceeds to increase financial resources set-aside for affordable housing.

The County identified two publicly owned parcels suitable for new housing construction and released an RFP for their sale and development in fall 2018. One parcel, known as Bembridge, released an Invitation to Negotiate for the development of affordable housing in spring 2019. The Bembridge property developer will receive Florida Housing Finance Authority funding to construct 82 new rental units in 2020-2021. In addition, the County Board of Commissioners recently adopted Resolution 2018-39, which encourages the co-location of housing and public facilities when the County acquires future property.

Annual Action Plan 2020

# **Annual Goals and Objectives**

## **AP-20 Annual Goals and Objectives**

## **Goals Summary Information**

Sort	Goal Name	Start	End	Category	Geographic Area	Needs Addressed	Funding	<b>Goal Outcome Indicator</b>
Order		Year	Year					
1	Provide Public	2016	2021	Non-Housing	Countywide	Public Services	CDBG:	Public service activities
	Services			Community			\$410,535	other than Low/Moderate
				Development				Income Housing Benefit: 390
								Persons Assisted
2	Improve Public	2016	2021	Non-Housing	IMMOKALEE-LOW	Infrastructure	CDBG:	Public Facility or
	Infrastructure			Community	INCOME CENSUS	Improvements	\$452,545	Infrastructure Activities
				Development	TRACT AREA			other than Low/Moderate
					BAYSHORE CRA			Income Housing Benefit:
					City of Naples			2537 Persons Assisted
3	Improve Public and	2016	2021	Non-Housing	Countywide	Public Facilities	CDBG:	Public Facility or
	Other Facilities			Community			\$1,400,000	Infrastructure Activities
				Development				other than Low/Moderate
								Income Housing Benefit:
								25715 Persons Assisted
4	Affordable Rental	2016	2021	Affordable	IMMOKALEE-LOW	Housing	HOME:	Rental units constructed: 4
	Housing			Housing	INCOME CENSUS	Affordability	\$693,425	Household Housing Unit
					TRACT AREA			Tenant-based rental
					Countywide			assistance / Rapid
								Rehousing: 50 Households
								Assisted

Sort	Goal Name	Start	End	Category	Geographic Area	Needs Addressed	Funding	Goal Outcome Indicator
Order		Year	Year					
5	Emergency Housing	2016	2021	Homeless	Countywide	Homelessness &	ESG:	Homeless Person Overnight
	and Services for the					Homelessness	\$100,000	Shelter: 300 Persons
	Homeless					Prevention		Assisted
6	HMIS Support	2016	2021	Homeless	Countywide	Homelessness &	ESG:	Other: 1 Other
						Homelessness	\$50,000	
						Prevention		
7	Rapid Re-Housing	2016	2021	Homeless	Countywide	Homelessness &	ESG:	Tenant-based rental
	and Homelessness					Homelessness	\$39,687	assistance / Rapid
	Prevention					Prevention		Rehousing: 18 Households
								Assisted
8	Program	2016	2021	Non-Housing	Countywide	Program	CDBG:	Other: 1 Other
	Administration			Community		Administration	\$551,380	
				Development		and Planning	HOME:	
							\$75,953	
							ESG:	
							\$15,380	

Table 6 – Goals Summary

## **Goal Descriptions**

1	<b>Goal Name</b>	Provide Public Services
	Goal	The County will provide for vital public services that assist low- to moderate-income households and the special needs
	Description	population. The special needs population can include the elderly, persons with a disability, victims of domestic violence
		and persons experiencing homelessness.

2	Goal Name	Improve Public Infrastructure
	Goal Description	The County will work to improve the public infrastructure in the county through activities such as improvements to streets and sidewalks.
3	Goal Name	Improve Public and Other Facilities
	Goal Description	The County will work to improve public facilities that serve LMI individuals and households and other special needs populations including a new community center and fire station in Immokalee.
4	Goal Name	Affordable Rental Housing
	Goal Description	Affordable rental housing activities will include support for acquisition of duplexes for the purpose of adding affordable rental housing, CHDO operations for special needs individuals, COVID-19 related rental & utility assistance payments and tenant-based rental activities (TBRA).
5	Goal Name	Emergency Housing and Services for the Homeless
	Goal Description	The County will help provide for homeless person overnight shelter operations in the area.
6	<b>Goal Name</b>	HMIS Support
	Goal Description	The County will help provide for HMIS Support through the Hunger & Homeless Coalition HMIS Software Program.
7	Goal Name	Rapid Re-Housing and Homelessness Prevention
	Goal Description	The County will help provide for rapid re-housing and homelessness prevention and expanded housing assistance using ESG-COVID funding.
8	Goal Name	Program Administration
	Goal Description	Program Administration for CDBG, HOME, and ESG Programs.

### AP-35 Projects - 91.220(d)

#### Introduction

For FY 2020-2021, Collier County will fund a total of 14 projects under the CDBG, HOME, and ESG programs, identified below with additional details provided in Section AP-38. Please note that funding levels and goal outcome indicators are estimates for planning purposes. Payments to subrecipients for individual projects and/or activities are not contingent on meeting annual outcome indicators shown below. Subrecipient award amounts may not match those in the applications submitted to the County, as not all projects were funded at requested levels. Recommended activities are eventually finalized in a legal agreement approved by the Board of County Commissioners and the subrecipients. The agreement is considered to contain the final activity description including funding levels, scope elements, deliverables and beneficiaries and supersedes any prior information such as the application or this action plan.

CDBG projects include public services, public facility, and public infrastructure improvements. Under the HOME program, the County will fund construction, CHDO operations, acquisition, and/or rehabilitation of affordable housing and a tenant-based rental assistance program. ESG activities include emergency shelter operations support, rapid re-housing, HMIS system support, and administration. The administrative costs of the CDBG, HOME, and ESG projects are also included as projects and represent 20%, 10%, and 7.5% of annual allocations, respectively.

In the event that any of the activities identified in the project list and descriptions do not perform as anticipated and grant funds are returned, or in the event that additional funds become available, the County reserves the right to reprogram those funds for use in a Down Payment and Closing Cost Assistance program for low- and moderate-income households.

#### **Projects**

#	Project Name
1	CDBG: Administration
2	CDBG: Public Infrastructure
3	CDBG: Public Facilities
4	CDBG: Public Services
5	HOME: Administration
6	HOME: CHDO Operating
7	HOME: Rental Housing Activities
8	HOME: TBRA
9	ESG: Homeless Housing and Services

**Table 7 - Project Information** 

Describe the reasons for allocation priorities and any obstacles to addressing underserved

#### needs

Allocation priorities for the FY 2020-2021 Action Plan are consistent with those outlined in the Strategic Plan portion of the County's 2016-2020 Consolidated Plan. The priorities were identified through a review of market conditions as well as a needs assessment that analyzed affordable housing, special need housing, homeless, and non-housing community development needs in Collier County. Substantial participation by residents, local and regional organizations, and other stakeholders also informed these priorities.

The Consolidated Plan and the Needs Assessment conducted as part of the Consolidated Planning process identified affordable for-sale and rental housing as a top need in Collier County. Housing affordability was the most common issue identified by interviewees and community meeting participants throughout the county, including in Naples and Immokalee. Further, according to Comprehensive Housing Affordability Strategy (CHAS) data, about 40% of all households in the county spend more than 30% of their income on housing. For low- and moderate-income households, about 75% of renters and 65% of owners spend over 30% of income on housing.

The County made its funding decisions for this Action Plan based on need, geographic priorities, and opportunities to work with subrecipients and other partners that contribute additional resources to leverage federal funds. The main obstacle to addressing underserved needs is the shortage of financial resources to address Collier County's priorities.

In the event of natural disasters, pandemics and other worldwide crisis events (natural or manmade), the County may substantially amend the projects in this Plan to meet the immediate needs of the community. It is the responsibility of the County to plan ahead and be disaster prepared. For example, in the event of hurricanes the County may reprioritize from normal activities to focus on infrastructure and facilities improvements, or increase public services, where allowable, in the case of a pandemic or other natural disaster. Under the State SHIP program, the county has an existing Disaster Assistance Strategy that goes into effect when a disaster declaration has been declared by the President or the Governor. Collier County will also take advantage of federal waivers that may be issued from time to time in the event of declared disasters. In April 2020, the County identified HUD CDBG, ESG and HOME waivers that it was electing to use in addressing the Coronavirus pandemic. Collier County will continue to take advantage of all appropriate CDBG, ESG and HOME waivers as they become available.

## **AP-38 Project Summary**

**Project Summary Information** 

(see following table)

1	Project Name	CDBG: Administration
	Target Area	Countywide
	Goals Supported	Program Administration
	Needs Addressed	Program Administration and Planning
	Funding	CDBG: \$551,379
	Description	The management and oversight of the CDBG Program by the Collier County Division of Community and Human Services. Fair housing activities. All allowable and eligible administrative activities. The County will also take part in planning for disaster preparedness in the case of natural disasters, pandemics, and other crisis events.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	<b>Location Description</b>	Countywide
	Planned Activities	CDBG Program Administration
2	Project Name	CDBG: Public Infrastructure
	Target Area	BAYSHORE CRA City of Naples
	<b>Goals Supported</b>	Improve Public Infrastructure
	Needs Addressed	Infrastructure Improvements
	Funding	CDBG: \$452,545
	Description	Provide funding to construct or improve public infrastructure such as sidewalks and crosswalk safety improvements. Not this year
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Public Infrastructure Activities: 2,537 Persons Assisted
	<b>Location Description</b>	Peters Ave sidewalk(Census tract 107.01)
		City of Naples (Census tract 7)

	Planned Activities	Planned activities include:
		(1) Collier County Board of County Commissioner Transportation Planning, Peters Avenue Sidewalk(03L- Sidewalks), 1,245 LMI persons assisted: \$389,085
		(2) City of Naples – RiverPark LED lights at intersections on Goodlette- Frank Road(03K-Street Improvements), 1,292 LMI persons assisted: \$63,460
3	Project Name	CDBG: Public Facilities
	Target Area	IMMOKALEE-LOW INCOME CENSUS TRACT AREA
	Goals Supported	Improve Public and Other Facilities
	Needs Addressed	Public Facilities
	Funding	CDBG: \$1,400,000
	Description	The County will provide funding to improve public facilities that serve low- and moderate-income persons and the special needs population.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Public Facility Activities: 25,715 Persons Assisted
	Location Description	Immokalee (Census tracts 112.04, 112.05, 113.01, 113.02 &114)
		Eden Gardens/Immokalee (Census tract 113.01)
	Planned Activities	Planned activities include:
		(1) Immokalee Fire Station Construction (030-Fire Station/Equipment), 18,715 LMI persons assisted: \$1,000,000
		(2) Rural Neighborhoods - Eden Gardens Esperanza Place Community Center Construction. (03E-Neighborhood Facilities), 7000 LMI persons assisted: \$400,000
4	Project Name	CDBG: Public Services
	Target Area	Countywide
	Goals Supported	Provide Public Services
	Needs Addressed	Public Services
	Funding	CDBG: \$410,535

	Description	Provide subrecipient grants for provision of public services to low- and moderate-income residents, youth, people with developmental disabilities, and victims of domestic violence.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	390 Persons Assisted
	<b>Location Description</b>	Various locations in Collier County
	Planned Activities	Planned activities include:
		(1) Legal Services to Victims - legal aid services for 150 persons, victims of domestic violence. (05C-Legal Services): \$187,489
		(2) Comprehensive Housing Counseling - 180 new client intakes. (05U-Housing Counseling): \$94,000
		(3) Sunrise Community of SW FL, Free to Be Me Transportation Services - 60 persons with disabilities (05B-Services for Persons with Disabilities): \$98,183
		(4) TBA: \$30,863
5	Project Name	HOME: Administration
	Target Area	Countywide
	<b>Goals Supported</b>	Program Administration
	Needs Addressed	Program Administration and Planning
	Funding	HOME: \$75,953
	Description	Management and oversight of the HOME Program by the Collier County Community and Human Services Division. All eligible and allowable admin activities.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	N/A
	<b>Location Description</b>	Countywide
	Planned Activities	HOME Program Administration
	Project Name	HOME: CHDO Operating

6	Target Area	Countywide
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Housing Affordability
	Funding	HOME: \$36,555
	Description	The county will support CHDO operations that provide rental housing assistance to special needs individuals.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Support for ROOF CHDO operation.
	Location Description	Countywide
	Planned Activities	Planned activities include:
		ROOF CHDO affordable rental housing activities that will assist special needs persons throughout the county.
7	Project Name	HOME: Rental Housing Activities
	Target Area	Countywide
	Goals Supported	Affordable Rental Housing
	Needs Addressed	Housing Affordability
	Funding	HOME: \$556,870
	Description	Rental housing activities will include acquisition of housing for affordable rental development.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Rental Housing: 4 LMI Households
	<b>Location Description</b>	Countywide
	Planned Activities	Planned activities include:
		(1)Collier County Housing Authority, Rental Housing Acquisition, Golden Gate (4 qualified households will benefit from two duplexes).
8	Project Name	HOME: TBRA
	Target Area	Countywide

	Goals Supported	Affordable Rental Housing
	Needs Addressed	Housing Affordability
	Funding	HOME: \$100,000
	Description	Rental housing activities will include TBRA assistance.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	TBRA: 50 LMI Households
	<b>Location Description</b>	Countywide
	Planned Activities	Planned activities include:
		(1) TBRA: NAMI (National Alliance for Mental Illness)
		(2) TBRA: Residential Options of Florida (ROOF)
9	Project Name	ESG: Homeless Housing and Services
	Target Area	Countywide
	Goals Supported	Emergency Housing and Services for the Homeless HMIS Support Rapid Re-Housing and Homelessness Prevention Program Administration
	Needs Addressed	Homelessness & Homelessness Prevention Program Administration and Planning
	Funding	ESG: \$205,067
	Description	Support shelter operations and services for victims of domestic violence. Support HMIS. Provide rapid re-housing for homeless households or those at risk of homelessness, including medium -term rental assistance, security and utility deposits, and rental application fees. All allowable/eligible activities for admin.
	Target Date	9/30/2021
	Estimate the number and type of families that will benefit from the proposed activities	Rapid Re-housing: 18 Persons Assisted  Homeless Person Overnight Shelter: 300 Persons Assisted
	<b>Location Description</b>	Countywide

Planned Activities	Planned activities include:
	(1) Collier County Hunger & Homeless Coalition, Rapid Re-housing, 18 persons.
	(2) Collier County Hunger & Homeless Coalition, HMIS.
	(3) The Shelter for Women & Children, Emergency Shelter Operations, including beds for victims of domestic violence, 300 persons assisted.
	(4) Expand Rapid Re-Housing and Homeless Prevention to those impacted by COVID 19 using ESG-CV funding

### AP-50 Geographic Distribution – 91.220(f)

## Description of the geographic areas of the entitlement (including areas of low-income and minority concentration) where assistance will be directed

Collier County and the City of Naples participate together in the Urban County CDBG Program. Marco Island and Everglades City, both incorporated cities within the County have opted out of participation for FY 2020-2021 and the three-year urban county qualification. In the upcoming program year, the County anticipates spending 45%% of total HUD allocation (CDBG, HOME, and ESG) in Immokalee, 12% of its funds in the Bayshore CRA district, 3 % of its funds in the City of Naples, and 40% will be spent countywide, although this may include services and facility improvements in Naples or Immokalee that do not exclusively serve households in those geographies.

A brief description of the activities in each target area is included below:

- Immokalee CDBG funds will be used to construct a new fire station and a new community center in Eden Park.
- City of Naples CDBG funds will be used to install LED lights on crosswalks to improve pedestrian safety and mobility.
- Countywide CDBG public services funds will be used to serve victims of domestic or child abuse with legal services, provide transportation for developmentally disabled residents, comprehensive housing counseling, and tutoring and mentoring programs for at-risk youth.

### **Geographic Distribution**

Target Area	Percentage of Funds
IMMOKALEE-LOW INCOME CENSUS TRACT AREA	45
BAYSHORE CRA	12
Countywide	40
City of Naples	3

**Table 8 - Geographic Distribution** 

### Rationale for the priorities for allocating investments geographically

Collier County targets resources in low-moderate income census block groups to meet regulatory requirements for CDBG grant funds and to target areas with the highest level of needs. Maps provided in the Appendix show Collier County block groups where 51% or more of the population have incomes at or below 80% of the area median income. These areas are considered "target areas" for use of HUD grant funds for area improvements, such as public infrastructure or facility improvements. HUD funds may also be spent outside of these targeted areas, as long as they provide services, improvements, affordable

housing, or other benefits for low- and moderate-income households or special needs populations.

See map 2020 Collier County Low/Moderate Income Census Tract Block Groups & list of Low/Moderate Income tracts in AD-25 Attachments.

Data Source: Housing, Grant Development, and Operations, Community and Human Services Division

### Discussion

The 2017 Tax Cut and Jobs Act established the Opportunity Zone program. Opportunity zones are low-income census tracts nominated by the Governor of the state to spur private investment for economic development and job creation in the designated tracts. Collier County has five census tracts that are certified by the State as Opportunity Zones (census tracts 104.11, 108.02, 112.05, 113.01, and 114). HUD encouraged grantees to consider using their CDBG, HOME, and ESG funds for eligible activities in Opportunity Zones in CPD-19-01: Guidance on Submitting Consolidated Plans and Annual Action Plans. The County's Opportunity Zones overlap with census tracts within two of the CDBG target areas. For FY 2020-2021, the County anticipates funding two public facility projects in these census tract target areas. As the Opportunity Zone program regulations are finalized, the County will explore how the CPD funds can be used to leverage Opportunity Zone funding to these areas.

### **Affordable Housing**

### AP-55 Affordable Housing – 91.220(g)

#### Introduction

The need for affordable rental and homeownership housing was a common theme heard during the Consolidated Plan development process. The greatest need is among renters with very low incomes, large families, immigrant families, and residents with disabilities. Housing for people who are homeless and homelessness prevention was also identified as a priority need.

To address these needs, the County will use HOME funds to support the acquisition of new affordable units and provide rental assistance.,. The County will also use ESG funds to continue to offer its rapid rehousing and homeless prevention programs for homeless families. These programs will be expanded through the utilization of COVID-19 ESG funds in 2020.

Goals for the number of households to be supported in 2020-2021 are provided below. Please note these are goal estimates. Payments to subrecipients for individual projects and/or activities are not contingent on meeting annual outcome indicators shown below.

One Year Goals for the Number of Households to be Supported		
Homeless	18	
Non-Homeless	54	
Special-Needs	0	
Total	72	

Table 9 - One Year Goals for Affordable Housing by Support Requirement

One Year Goals for the Number of Households Supported Through		
Rental Assistance	68	
The Production of New Units	0	
Rehab of Existing Units	0	
Acquisition of Existing Units	4	
Total	72	

Table 10 - One Year Goals for Affordable Housing by Support Type

### Discussion

During FY 2020-2021, the County anticipates supporting a total of 78 households, including 4 through acquisition of two duplexes for the purpose of affordable housing using HOME funds; 50 through Tenant-Based Rental Assistance; and 18 homeless persons through the ESG rapid re-housing program.

Though the County has not recently funded affordable housing for special needs population using CDBG Annual Action Plan 39 and HOME, it is anticipated that the funds expended through the County's housing programs will benefit persons with special needs because there is often an overlap between the population needing affordable housing and those who are special needs. In 2020 Residential Options of Florida (ROOF), a nonprofit providing special needs housing will receive CHDO Operating to help with capacity development.

In 2019, Collier County provided SHIP funds to address special needs housing for developmentally disabled persons through a statewide nonprofit, Residential Options of Florida (ROOF). Additional SHIP funds will be provided to ROOF in FY 2020-2021 for special needs housing. ROOF will also administer a TBRA program for their special needs clients in 2020-21 while NAMI (National Alliance for the Mentally III) will administer TBRA for their clients.

### **AP-60 Public Housing – 91.220(h)**

### Introduction

The Collier County Housing Authority (CCHA) is an independent authority established under state law and is separate from the general control of the County. The mission of the CCHA is to offer a choice of decent, safe, and diverse affordable housing, with opportunity for low-income households to achieve economic independence in living environments free from discrimination. The CCHA owns and manages public housing developments, including low-income and farm labor housing at Farm Worker Village, farm labor housing at Collier Village, a 192-bed dormitory called Horizon Village, and some scattered site housing.

Farm Worker Village is a multi-family low-income and farm labor housing development in Immokalee built under the USDA 514/516 Program. The development includes 176 total acres with 591 housing units, ranging from single-family homes to duplexes and four-plexes. Farm Worker Village has a main office, convenience store, laundromat, community center, playground, and two daycare centers.

Horizon Village offers 192 beds for unaccompanied migrants and seasonal farmworkers in dormitory-style efficiency units, each with space for 8 people, a common kitchen area with appliances, and two bathrooms. A meeting room is available to provide social and educational programs.

The Housing Authority also administers approximately 450 Section 8 Housing Choice Vouchers. Unlike most public housing authorities, CCHA has no HUD-funded public housing units. Their primary funding is from USDA.

### Actions planned during the next year to address the needs to public housing

Tenant Based Rental Assistance Program Collier County partners with CCHA to assist very low-income households to move from homelessness to self-sufficiency by providing monthly rental and utilities assistance through the Tenant Based Rental Assistance Program (TBRA) administered through CCHA's voucher program. The TBRA program provides rental housing subsidies and security deposits to eligible families that would otherwise be homeless. To be eligible for the program, households must work with a case manager to move toward self-sufficiency and have a total household income at or below 60 percent AMI.

CCHA has identified six goals to carry out annually that will optimize services for its residents and voucher

### holders, including:

- Expanding the supply of assisted housing;
- Improving the quality of assisted housing;
- Increasing assisted housing choices;
- Providing an improved living environment;
- Promoting self-sufficiency and personal development of assisted households; and
- Ensuring equal opportunity and affirmatively furthering fair housing.

CCHA will also continue to manage its farm-worker housing and Housing Choice Vouchers over FY 2020-2021. Rents associated with the federal developments are in accordance with HUD regulations and are set at 30% of a household's total income. Income levels are monitored through yearly verification. Eligible applicants are those whose income is within the limits as determined by HUD.

In 2018, CCHA purchased two affordable housing units in Golden Gate using State Housing Initiative Partnerships (SHIP) funding which it will continue to rent to income qualified households. In 2020-2021 it is anticipated that CCHA will purchase two more duplexes to add to their rental inventory.

Additionally, the CCHA will employ the following strategies to address the housing needs of Collier County families:

- Assist as many low-income individuals and households as possible with the federal resources that have been awarded to CCHA.
- Increase the number of affordable housing units by applying for additional Section 8 vouchers should they become available.
- Leverage affordable housing resources in the community through the creation of mixed-financed housing.
- Pursue housing resources other than Section 8 tenant-based assistance.
- Target available assistance to families at or below 30% of AMI, homeless, and the elderly by continuing to do outreach to community partners that serve the low-income, homeless, and the elderly.
- Maintain or increase Section 8 lease-up rates by marketing the program to owners, particularly those outside of areas of minority and poverty concentration.
- Participate in County plan development processes to ensure coordination with broader community strategies.

## Actions to encourage public housing residents to become more involved in management and participate in homeownership

In addition to operating farmworker housing and the Housing Choice Voucher Program, CCHA also offers a Family Self-Sufficiency (FSS) program to promote economic opportunity for HUD-assisted families.

Annual Action Plan 2020 Through the FSS program, case managers work with families to identify employment goals and access support services to achieve these goals. As a family's income rises, CCHA deposits the increased rent charges that the family pays in an escrow account. The escrow account may then be used by the family for college or vocational education expenses, work-related expenses, or toward homeownership as they graduate from the program.

The CCHA has a Section 8 Advisory Board. Interested voucher holders are encouraged to join, which gives them a formal opportunity to offer input on the voucher program to CCHA. The Board reviews CCHA's PHA plans and the agency's progress toward its goals.

If the PHA is designated as troubled, describe the manner in which financial assistance will be provided or other assistance

The Collier County Housing Authority is not designated as troubled.

### AP-65 Homeless and Other Special Needs Activities – 91.220(i)

### Introduction

In FY 2020-2021, Collier County will use ESG funding to support operation of a homeless domestic violence shelter, short and medium-term rapid rehousing and homeless prevention programs for homeless or atrisk people, and ongoing HMIS operation.

Describe the jurisdictions one-year goals and actions for reducing and ending homelessness including:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Collier County participates in the Hunger & Homeless Coalition's annual Point-in-Time Count. In addition, Collier County conducts outreach to homeless persons through NAMI's rapid re-housing program, through homeless shelter providers such as the Shelter for Abused Women and Children, and through other partners providing public services for homeless persons or persons at risk of homelessness. The County anticipates that it will serve 300 homeless women and children through the Shelter using \$100,000 in ESG funds. The County will also allocate funds to the Hunger and Homeless Coalition for HMIS activities, which will ultimately help the CoC better assess the needs of homeless persons in Collier County through efficient data collection.

### Addressing the emergency shelter and transitional housing needs of homeless persons

Through funding to the Shelter for Abused Women and Children, Collier County will provide emergency and transitional housing, along with supportive services, for victims of domestic violence. With anticipated funding of \$100,000, the Shelter estimates it will serve 300 homeless women and children in FY 2020-2021.

In addition to providing funds for shelter operations, the County will also make a grant to Collier County Hunger & Homeless Coalition for the use of Homeless Prevention and Rapid Re-housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Over the coming year, Collier County will continue to provide funds to Collier County Hunger & Homeless Coalitionto operate a rapid re-housing program and homelessness prevention program. These programs

are designed to shorten the period of time that homeless families or individuals experience homelessness. The rapid re-housing program funds up to a year of rental assistance, rental security deposits and last month's rent, and/or costs such as rental application fees and utilities.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); or, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs.

To help families and individuals avoid becoming homeless, Collier County will continue to operate its rental assistance program in FY 2020-2021. This program seeks to prevent homelessness by providing up to a year of rental assistance, rental security deposits and last month's rent, and/or costs such as rental application fees and utilities.

Additionally, through funding from the State of Florida Department of Children and Families, Collier County will continue its Forensic Intensive Reintegration Support Team (FIRST), which provides persons with substance abuse or mental health issues leaving the judicial system with assistance to prevent homelessness or re-incarceration.

The County will also support low- and moderate-income families avoid becoming homeless by bolstering its supply of income-restricted affordable housing. Through real property acquisition funded by CDBG, SHIP, or other sources, the County will assist CCHA, ROOF, Wounded Warriors or other nonprofits to acquire rental housing units.

The County is also actively working to identify other ways to increase the supply of affordable housing through its Affordable Housing Advisory Committee. The Advisory Committee worked with an Urban Land Institute (ULI) panel to develop the *Collier County Community Housing Plan*in 2017. The *Housing Plan*outlines strategies for expanding the supply of affordable housing under six core recommendations, including density and certainty, sustainable funding sources, community land trusts and public land, transportation enhancements, and communication and outreach. The *Housing Plan*is discussed in more detail in the next section.

### AP-75 Barriers to affordable housing – 91.220(j)

### Introduction:

In 2016, Collier County's Affordable Housing Advisory Committee (AHAC) and the Board of County Commissioners (BCC) recognized the need for a comprehensive plan to identify housing needs in the county and outline specific strategies to address these needs. Over an 18-month process, AHAC worked with a BCC-appointed Community Housing Stakeholders Group and the Urban Land Institute (ULI) to develop the *Collier County Community Housing Plan*that was finalized in October 2017. The Plan includes an analysis of current housing conditions, a housing vision statement, definitions designed to establish a mutual understanding of affordable housing terminology, housing demand estimates based on projected population growth, and recommendations for addressing Collier County's housing affordability crisis.

Actions it planned to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment

The Community Housing Stakeholders Group formed subcommittees to identify tools and methods to address housing affordability under five overarching strategies. Based on the Stakeholders' research, they developed recommendations for the Board of County Commissioners. These recommendations went before the BCC throughout 2018. Some recommendations have received approval while others will continue to be brought forth throughout 2020. The recommended tools are listed below by strategy area, with an indication of those that have already been approved by the BCC:

### Density and Certainty

- Modify the existing Affordable Housing Density Bonus program (approved by the BCC)
- Expedite the permitting and approval process (approved by the BCC)
- Adjust Collier County's Impact Fee Deferral Program (approved by the BCC)
- Identify strategic opportunity sites for higher densities (In process)
- Implement a Mixed Income Housing Ordinance (In process)
- Establish or increase administrative approvals (In process)
- Explore potential relief from some development regulations (In process)

### **Stable Funding Sources**

Reinstate the Housing Trust Fund with sustainable and predictable revenue sources (approved by

the BCC)

### Community Land Trust & Public Lands

- Establish a Community Land Trust to manage a proposed Mixed Income Housing Program (BCC has approved the Community Land Trust) (In process)
- Encourage contribution of suitable surplus land by Collier County to the Land Trust (approved by the BCC)
- Encourage similar initiatives or contributions in Naples and Marco Island
- Develop RFPs for construction of affordable housing on suitable publicly owned land (McDowell Housing Partners selected to develop county-owned parcel, Bembridge in process)

### <u>Transportation Enhancements</u>

- Integrate bus routes with affordable housing locations
- Enhance bike lanes and pedestrian systems
- Enhance mobility through rideshare options
- Establish a revenue source for transit and alternative mobility

### **Communication & Outreach**

- Create an online, up-to-date inventory of available affordable housing units (Implemented)
- Create a housing-focused website (Implemented)
- Develop a marketing, public relations, and communications plan to educate the community about housing affordability (In process)
- Consider solutions that don't involve construction

### **AP-85 Other Actions – 91.220(k)**

### Introduction:

This section details the County's actions planned to ensure safe and affordable housing for its residents, along with plans to meet underserved needs, reduce poverty, develop institutional structure, and enhance coordination between public and private sector housing and community development agencies.

### Actions planned to address obstacles to meeting underserved needs

To help remove obstacles to meeting underserved needs and improve service delivery, Collier County will work with local non-profits and other subrecipients to coordinate the work of social service organizations, disseminate news and information, and spearhead community-wide solutions to local needs. Specifically, the County will work on projects to construct and improve public infrastructure and facilities, including sidewalks, parks, fire suppression system, and emergency shelters; provide public services such as transportation for special needs populations, legal assistance, and family safety programs; support development of new affordable housing; and address homeless needs through shelter operations support, homeless prevention and rapid re-housing activities.

The County is continually looking for additional funding to address underserved needs, and has procured SHIP and Criminal Justice, Mental Health, and Substance Abuse grants from the State and Drug Court funding from the Department of Justice. Over the next year, CHS staff will continue exploring new grant opportunities using grants.gov and other sources and develop partnerships with local agencies as needed to make grant applications.

### Actions planned to foster and maintain affordable housing

During the 2020-2021 program year, Collier County will foster the development of new affordable housing by providing HOME funds to Collier County Housing Authority to purchase 2 duplex units in the Golden Gate area to benefit four low-income families. HOME funds will also be provided to the NAMI and ROOF for TBRA.

The County is also working through its Affordable Housing Advisory Committee to implement strategies and recommendations for expanding the supply of affordable housing as outlined in the *Community Housing Plan*accepted in October 2017.

### Actions planned to reduce lead-based paint hazards

The County's health department is the lead agency responsible for conducting lead screenings and identifying properties in need of rehabilitation to reduce exposure to lead-based paint. The County will continue to comply with Federal regulations related to lead-based paint, including in CCHA units. Given that much of Collier County's housing stock was built between the 1980s and 2000s, there are very few

properties with lead-based paint. The County plans to participate in HUD training on lead-based paint.

### Actions planned to reduce the number of poverty-level families

The County is proud of its work to eliminate cost burdens and target resources in an effective and coordinated manner. The County has two designated CRA areas and will continue to focus funds for infrastructure improvements in these areas. By doing so, the County will leverage complementary initiatives aimed at business development, public infrastructure and community programming initiatives, and other quality of life features that connect families living in poverty with services and economic opportunities.

### Actions planned to develop institutional structure

Collier County's Affordable Housing Advisory Committee (AHAC) represents the most substantial partnership designed to develop institutional structure to address affordable housing challenges. AHAC is composed of representatives from the residential home building, banking/ mortgage lending, labor, low-income advocacy, social service, real estate, employer, and local planning industries. These representatives serve as an informed body of experts with unique perspectives on the local landscape of affordable housing needs and market dynamics in Collier County.

Last year, AHAC was involved in development of the *Community Housing Plan*based on affordable housing strategies and recommendations provided by a ULI panel. The Plan was presented to the Board of County Commissioners in October 2017. In the upcoming year, the AHAC will continue to strengthen its leadership role in guiding affordable housing decisions in the community.

Collier County will utilize a portion of its CDBG-CV funding to address the impacts that the coronavirus has had on small businesses in our community and large number of individuals who have become unemployed as a result of COVID-19. A plan is being developed to assist small business owners and to create economic opportunities in 2020-21. This initiative may also help develop additional institutional structure with the County's community partners including the Chamber of Commerce, United Way, the Community Foundation of Collier County and others.

## Actions planned to enhance coordination between public and private housing and social service agencies

The County will work to foster collaboration through dissemination of services, news, and information across social service organizations, subcontractors, and public and private entities. One way the County will accomplish this is through subrecipient meetings that bring together affordable housing developers and social service agencies. Additionally, implementation of the countywide *Community Housing Plan*will require input from and coordination of both housing providers and social service agencies. The County will encourage community-based solutions and regional partnerships and will continue to support and

Annual Action Plan 2020 participate in the CoC, whose membership includes both affordable housing and social service agencies. Other initiatives to enhance coordination are done in conjunction with the County's Affordable Housing Advisory Committee (AHAC) and the new Mental Health and Substance Abuse Ad Hoc committee.

### **Program Specific Requirements**

### AP-90 Program Specific Requirements – 91.220(I)(1,2,4)

### Introduction:

In AP-90, Collier County provides information required by regulations governing the Community Development Block Grant (CDBG) program, the HOME Investment Partnership (HOME) program, and the Emergency Solutions Grant (ESG) program.

## Community Development Block Grant Program (CDBG) Reference 24 CFR 91.220(I)(1)

Projects planned with all CDBG funds expected to be available during the year are identified in the Projects Table. The following identifies program income that is available for use that is included in projects to be carried out.

1. The total amount of program income that will have been received before the start of the	
next program year and that has not yet been reprogrammed	20,000
2. The amount of proceeds from section 108 loan guarantees that will be used during the	
year to address the priority needs and specific objectives identified in the grantee's strategic	
plan.	0
3. The amount of surplus funds from urban renewal settlements	0
4. The amount of any grant funds returned to the line of credit for which the planned use	
has not been included in a prior statement or plan	0
5. The amount of income from float-funded activities	0
Total Program Income:	20,000

### **Other CDBG Requirements**

1. The amount of urgent need activities

0

2. The estimated percentage of CDBG funds that will be used for activities that benefit persons of low and moderate income. Overall Benefit - A consecutive period of one, two or three years may be used to determine that a minimum overall benefit of 70% of CDBG funds is used to benefit persons of low and moderate income. Specify the years covered that include this Annual Action Plan.

100.00%

## HOME Investment Partnership Program (HOME) Reference 24 CFR 91.220(I)(2)

1. A description of other forms of investment being used beyond those identified in Section 92.205 is as follows:

Not applicable. Collier County does not anticipate using any other forms of investment beyond those identified in Section 92.205.

2. A description of the guidelines that will be used for resale or recapture of HOME funds when used for homebuyer activities as required in 92.254, is as follows:

See attached description.

3. A description of the guidelines for resale or recapture that ensures the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4) are as follows:

Collier County complies with the HUD affordability terms in 24 CFR 92.254 (a)(4) as follows:

HOME funds utilized for housing have the following terms of affordability:

Under \$15,000 5 years

\$15,000-\$40,000 10 years

Over \$40,000 15 years

4. Plans for using HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds along with a description of the refinancing guidelines required that will be used under 24 CFR 92.206(b), are as follows:

Not applicable. Collier County has no plans to use HOME funds to refinance existing debt secured by multifamily housing rehabilitated with HOME funds.

## Emergency Solutions Grant (ESG) Reference 91.220(I)(4)

### 1. Include written standards for providing ESG assistance (may include as attachment)

Collier County's ESG program provides funding assistance for:

- Engaging homeless individuals and families living on the streets
- Improving the number and quality of emergency shelters/beds for homeless individuals and families
- Financially assistance with shelter operations
- Provides essential services to shelter residents
- Rapidly re-house homeless individuals and families
- Prevents families and individuals from becoming homeless

The ESG beneficiaries must meet certain eligibility criteria of the program components that they are participating in. ESG offers five program components including street outreach, emergency shelter, homeless prevention, rapid-rehousing and HMIS.

# 2. If the Continuum of Care has established centralized or coordinated assessment system that meets HUD requirements, describe that centralized or coordinated assessment system.

The Hunger & Homeless Coalition of Collier County serves as the Lead Agency and has been designated by the CoC as the Collaborative Applicant for the HUD CoC grant on behalf of Collier County's Continuum of Care. As the Lead Agency, the Hunger & Homeless Coalition also has operational and planning duties with respect to the CoC. The Hunger & Homeless Coalition is developing a coordinated assessment system, in which Collier County will participate.

The CoC will establish and operate a coordinated assessment system that provides an initial, comprehensive assessment of the needs of individuals and families for housing and services. The coordinated assessment system will: (1) cover the geographic area of Collier County; (2) be easily accessed by individuals and families seeking housing or services; (3) be well-publicized; and (4) include a comprehensive and standardized tool providing an initial assessment of housing and service needs. In addition, the CoC's coordinated assessment system will incorporate the written standard for providing CoC assistance when evaluating and referring potential program participants. Individuals and families who are fleeing, or attempting to flee, domestic violence, dating violence, sexual assault, or stalking who are seeking shelter or services from non-victim services providers will be directed to The Shelter for Abused Women and Children for assessment of housing and service needs.

In the meantime, the HMIS is used widely amongst appropriate agencies.

### 3. Identify the process for making sub-awards and describe how the ESG allocation available

### to private nonprofit organizations (including community and faith-based organizations).

The County provides a competitive process for awarding all entitlement funding. This is widely advertised formally, via email, and at partnership meetings. A review and ranking committee reviews applications for merit and compliance. The CoC is provided an opportunity to support or not support an application. Eventually, the recommended awardees are noted in the Annual Action Plan. All public comment requirements are followed. The Annual Action Plan is presented to the Board of County Commissioners for approval, and then to HUD.

4. If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), the jurisdiction must specify its plan for reaching out to and consulting with homeless or formerly homeless individuals in considering policies and funding decisions regarding facilities and services funded under ESG.

Collier County meets the homeless participation requirement found in 24 CFR 576.405(a) through the Continuum of Care, which is led by the Collier County Hunger and Homeless Coalition. The CoC comments on funding applications and considers policies regarding homeless facilities and services. Other organizations representing the homeless provided input for the Consolidated Planning process, and a focus group was held at the Shelter for Abused Women and Children to gather input about housing and community development priorities. The County will continue to secure consultation with homeless or formerly homeless individuals, along with local organizations that represent and provide services to the homeless.

### 5. Describe performance standards for evaluating ESG.

Collier County uses its project outcome performance report and progress reports to evaluate ESG. The program outcome performance report is due quarterly. Reports collect accomplishment data and beneficiary data so Collier County can track subrecipient performance. Data from participants served is entered into HMIS.

The Shelter for Abused Women and Children uses WS Osnium as its domestic violence reporting system. This system is mandated by the Shelter's certifying body, the Florida Coalition Against Domestic Violence. The reports from WS Osnium are uploaded into SAGE, HUD's reporting portal.

### **Attachments**

### **AD-25 Citizen Participation Attachments & Appendices**

Text of Ad: 06/19/2020

COLLIER COUNTY, FLORIDA HUD FY 2020-2021 Action Plan Public Meeting Notice Citizen Perticipation Announcement

Collier County is care[oping its FY 2023 2021 Annual Action Plan as required by the U.S. Department of Housing and Urban Development (HUD). The Action Plan serves as the County's application for Federal funds under the Community Development Black Forat (EDBG), HOME investment Pertnership Program (HOME), and the Emergency Solutions Great (FSG). This Annual Action Plan determines expandithmes for projects that will be conducted in the 2020-2021 program year.

Collier County, along with its enablement rily, Naples, is estimated to be allocated \$2,736,898, an CDBG (lunk for FYZ020-2021. In addition, unallocated funding from prior years is \$57,561 and program income is estimated at \$20,000. Eligible activities for CDBG funds and with ball are not limited to: construction of public indestructure and construction or renewation of public lackibles, and public services in accordance with 24 CTR \$ 570,201. Approximately 180% of the total allocation will benefit low and moderate income persons.

Cofter County is estimated to be allocated \$731,113.00 in HOME taltiative Partnership Program (HOMF) funds for FY2020-2021 to expand the supply of decent, affordable housing for low and very low-income folialities, in addition, prior year funds total \$9,8501 and estimated Program income of \$20,414. Approximately 10055 of the total HOME afforcation will be used to benefit very low and low-income eltizets.

Collier County they been allocated \$205,062 in Emergency Solutions Grant (ESG) funds for PY2000-2021. ESG funds may be used for emergency homeless shelters operations, re-housing of home-less individuals and families, Homeless Management Information Systems (HMIS), and homeless-ness pre-principle activities and outcout. Approximately 100% of the total allocation will benefit the homeless or individuals/families that are at risk of homelessness.

Proposed projects for the FY2020-2021 funding year include:

CD8G Program: 1) Public Services - \$379,677, 2) Public Intrastructure - \$452,545; 3) Public Facility Improvements - \$1,400,000; and 4) Program Administration - \$551,380.

HOME Programs: 1) Tanant-Based Bental Assistance - \$100,000; 2) Affordable Housing - \$556,670 and 3) CHDC Operating - \$36,555 and 4)Program Administration - \$75,953.

656 Program: 1) Emergency Shelter - \$100,000; 2) Rapid Re-Housing - \$39,687 - 3) HMS Support - \$50,000; and 3) Program Administration - \$15,380.

Public Comment Parket
There will be a public comment period from May 23, 2020 through June 23, 2020 regarding the
One Year Action Plan. During this period, the draft Flan will be available for review in all County
guidals libraries, at the Public Information Desk in the Harmon Turner Building on the Colline County
Government Main Campus, in the Cumunity and Human Services Division office horated at
3.39 E Tuniami Trail, Building H, Soile 211, Napice FI, 34112, and the Collier County web set at to
www.tolicroountyfi.gov. The document is available in a format accessible to pursons with disabilities, upon request. All comments (received through the CIS office, either written, by telephone,
via enail, regular goal or in person) from citizens will be considered in preparing the fired One
Year Action Plan for FY 2020-2021. CHS will respond to all comments in writing within 15 days of
receipt.

Collier County is an Erqual Opportunity Empinyar. Collier County complies with the Fair Housing. Act (42 U.S.C. 3600, et seu) and County Fair Housing Ordinance 92-9.

Final Action
Adoption of the FY 2020-2021 One Year Action Plan is scheduled for Tucsday June 23, 2020 at a Adoption of the FY 2020-2021 One Year Action Plan is scheduled for Tucsday June 23, 2020 at a regularly scheduled meeting of the Board of Coursy Commissioners: it will be heard at the July 2020 Buard of Coursy Commissioners regular meeting, with ne further advertising required. This provides the ability to meet the MDD deadline for the Action Plan as abinative in August 2020. The Action Plan may be anonoted after this time, should the need arise. If you require special oid or services as addressed in the Americans with Dissolitiles Act or require sensence to translate or sign, please contact the Community Patham Services office at (239) 252-4228, no later than two (2) days prior to the public hearing date. If or additional information context Cormac Cibilic at (239) 252-4228.



CONDADO DE COLLIER, FLORIDA Plan de Acción HUD Año Fiscal 2020-2021 Notificación de Audiencia Pública Notificación de participación ciudadana

El Condado de Collier está desarrollando se Plan de suiño aqual para el año fiscal 2020-2021 fal como lo colge el Departemento de Viviende y Desarrollo Urbano de los Estados Unidos (NUD). Plan de Action fundamo como la solicitud del Condado para fondas factorales bajo la subvención de desarrollo Contribitario (CDBG), el Programa de Asociación de inversión HOME (HOME) y la Subvención de Soluciones de Emergencia (ESQ). Este Man de acción sousial determina los gastos para los proyectos que se ilevación a cubo burante el cido de programa 2020-2021.

Se estima que el Condado de Collier, Junto con su diudad de dorecho, Naplas, se asignará \$
7,736,898, en foculos de CDBG para el airo facal 2020-2021. Además, el ingreso del programa de
estima en \$57,551 y las ingresos del programa se estiman en \$20,000. Las actividades legibles
para los foculos de CDBG incluyen, entre otras, las siguiantas: construcción y renovación de instalariones públicas, y servicias públicas de equento con 26 CPB \$570,201. Agrecimaciansente, el 100%
de la seignadón total beneficiada a personac de ingresos bajos a medierados.

Sa estima que el Condado de Collier se esignará 3731,113 en fondos del Programa de Asociación paro incipitavas FOOME (RIOME) para el año riscal 2000-2021, para empliar el Seministrio de Vivienda de para el uson della para ciana Sas de holas y mue hallos longones. Accepto, plas fajores, producentarios.



Published Daily Naples, FL 34110

CC HOUSINGHUMAN VETE RAN SERV 3299 TAMIAMI TRUE STE700

NAPLES, FL 34112

#### Affidavit of Publication

STATE OF WISCONSIN COUNTY OF BROWN

Before the undersigned they serve as the suthority, personally appeared seld logal derk who on eath says that he/she serves as Legal Clerk of the Naples Daily News, a doily newspaper published at Naples, in Colliar County, Florida; distributed in Colliar and Lae counties of Florida; that the attached copy of the advertising was published in said newspaper on dates listed. Affant turther says that the seld Naples Daily News is a newspaper published at Naples, in said Cultier County, Florida, and that the said newspaper has heretofore been continuously published in said.

Collier County, Florida; distributed in Collier and Lee countles of Florida, cach day and has been entered as second class mail matter at the post office in Naples, in said Collier County, Florida, for a period of one year next preceding the first publication of the ottached copy of advertisement; and affant suther says that he has neither paid for promised any person, or corporation any discount, rebate, commission or refund for the purpose of securing this advertisement for publication in the said newspaper.

Published: 95/22/2020

544

Subscribed and sworn to before on May 22, 2020.

Lister of the second

Notery, State of WL County of Brown

TARA MONDLOCK Notary Public State of Wisconsin

My commission expires August 6, 2021

Publication Cost: \$1,711.50 Ad No: 0004200154 Customer No: 1304214

PO#:

# of Affidavits1

This is not an invoice

## COLUER COUNTY, PLORIDA 1916: Py 2020-2021 Action Plan Aublic Meeting Hostes Offizen Participation Agricultuments

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Coller County, along vidt jis emittentent city, Haples, it estimated to be alloc CDRs hands for 197020 2021. In addition, smalles end foralling from paper yr photocop legister. I provide a training for 201020. Philipide actions for CDRs family land to construction of public hereaffricate and construction of recondings out public services in executance with 24 CDR 9.79.201. Approximately 100% from will be set 1 key and continued in compact public services in executance with 24 CDR 9.79.201. Approximately 100% from will be set 1 key and continued income persons.

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Proposed grolects for the FY2020-2021 funding year include:

CDRs Programs () Public Services - \$279.672; 2) Public Introduction - 1452,54 Improvements - \$1,480,600, and 3) Program Administration - (555,)80,

HOMS Program: 1) Tenant-based Bental Assistance - \$160,000 (2) Appetable and 3) CHPO Operating - \$36,555 and 4)?haptan Administration - \$75,552.

556 Program: 1) Suscepting Shelter - \$100,000, 2) Roold No Housing - \$39,687 \$50,000 and 3) Program Administration - \$15,340.

Public Comment Ferlod
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One Year Action Plan. Declay the probab, the steak Rian wall be a activable for a public librariate, at the Public Information and Action Rianness Residuely. For a public librariate, and the Public Information and Information Services Delian 339 E Ferland Trail, Faithing 11, Solice 213, Naples 31, 1913, and the CoPler Co speciallistantisty) (gav. The declarated Riannish in a formation accessible to perfect the probability of probability of the CoPler Control of the Control of th

Collier County is an Equal Opportunity Employer, Collier County complies wit Act (92 U.S.C. 3509, et study and County Fah Housing (Indigense 92-9,

Final Action
Adaption of the TV 2029-2021 Drie Year Action Plan is actuability to dissably regulatly wheelshed inpertuge of the Second of County Commissioners II, for any continued by the Board of County Commissioners is voll by him to the say 2 by Commissioners regular acceptage, with the factor of saverthing regulary. This is need to the HMD detailing for the Action Plan submissioners appelled in the Action Plan submissioners (2018) by a strength of the HMD detailing for the Action Plan submission in Adapta 2028, by a smoothed after this tipm, thould the need atom if your require queded and in set in the Americans with BlackHillies Act of the pink squeezes to consider or again. Commission the Action Plan is the Action Plan in the American with BlackHillies Act of the pink squeezes to consider or again. Commission the Action Plan is the Plan was (2018) by Action (2018) A



CORIGMBO DE COLUER, FLORIDA Plan de Accén HUIZ Año Fiscal 2020-2021 Notificación de Austiencie Pública Notificación de participación cjudedana

El Cristlado de Cullim está passprodendo su Plan de acción anual para el año-ciones fo escap el Departamento de Vislendo y Uscarrello Urbano de los Estado Flan de Arcón funciona regió la sobellos del Consisten para Emisso, hebitados de Departollo Frompallacio (1988), el Programa de Ascolación de Inserior el Subvenção de Sobiologos de Energencia (1986), espe Para de acidos anual de para las proyectos que se llevarán a cabo direito el colo del programa 2006-2022.

The extinor quin of Candrillo die Collier, Justie can on caletard die describe, Rol 2,785,879, op legalen die O226 para et emplised 2020-2071, Ademay et impressions en \$57,561 y se impresse del programa en estrana en \$20,000. Le apara las formises de Collie indiverse, none ciras, las riquistates confirmation y escriberas públicos, y terrio est públicos de acuerdo con \$4,000. So 2001. Acuestos de la asignación total becediclara a personas de logicases bajos a medienados.

Se estima que el Candedo de Collier se asignara \$211,513 en fondire del from pere lacindiras HOM, (HUMLI para el prio l'Escal Jazia-FoZ1 para ampliar el simi de may avergir ble para harillas de lagas y estas hajos segresos, Aderias de las las de para harillas de lagas y estas hajos segresos, Aderias de las ellos de para harillas de 19,511 y un laqueso estimado del prinq Aproximador este, el 1003 de la akspación total de HOME se atilizara para il dadante de nesa bajos y bajos especias.

Al Condidio de Celller de le lign acapesto \$795.087 en funcios que Salveres Vi-Fusemper la (190) para el ano (local 2000-2021. Los funcios de 1585 se planelos clores de refugios para escenas un inegar de emergencia, reognatambatos de da fusiça, seriemas de automacione da questión para personas su ligaja diflica inglas) y actualistes y activalides de presentires para personas sin hogas. An 1995 de la acumación terra ferrefucia e las necesars un hogas o presentadas tempos de questiose ser un hegas.

Los proyectos propuestos para el año liscul 2020-2021 incluyun;

Proprietta CDIG - (1 Service públicos - 1 379,672, 2) autraestructura pública - 4 co los instalaciones públicos - 8 1 499,990; y e) Administración dal Programas - 8 55

Programs 1000(x; 1) Adstanta de alquiller basada en el inquijino - 1 10 asquisole: 5 55,600 y 1) CHDO - CHOO en funcionamilente: \$36,555 y 4) producent \$75,952.

Programs 15s.: 1) Reliquie de entemposito - \$ 1883/98, 2) Reeniglate de videne. 31 Austenda (1645 - \$ 14,600, y 10 Administrações del programa - \$ 16,300.

Per Juin de comensant publica.

2020 piu ya a trata solare al Plum de Acción de con Año. Tratambre este nerio plum esta de solare al Plum de Acción de con Año. Tratambre este nerio plum esta de solar al mismostrares publicas del Con de Indonésación Pública del Con de Indonésación Pública del Condesación Alexandro de Collina, en la collega de la Disbriga de Scribidos Homesacos y de la Condesación Homesacos de la Condesación Homesacos de la Condesación Homesacos de la Condesación Homesacos de la Condesación de la Condesación

El consistic de Califor es un empluedan que obrere ignebilad de aparticuldades flar comple con la Ley de Vivincia Jose (CS 9.5,C, 3606, et 5eq) y la Géderanza dal Cincia de 2-2,



May 22, 2010

### RESOLUTION NO. 2020 - 109

A RESOLUTION OF THE BOARD OF COUNTY COMMISSIONERS OF COLLIER COUNTY, FLORIDA, APPROVING: A ONE YEAR ACTION PLAN FOR COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), HOME INVESTMENT PARTNERSHIPS (HOME) AND EMERGENCY SOLUTIONS GRANT (ESG) PROGRAMS FY 2020-2021; AUTHORIZING THE CHAIR TO EXECUTE REQUIRED HUD CERTIFICATIONS AND FUNDING DOCUMENTS; AND AUTHORIZING TRANSMITTAL OF THE PLAN TO THE UNITED STATES DEPARTMENT OF HOUSING AND GRBAN DEVELOPMENT (HUD); AND PROVIDING FOR AN EFFECTIVE DATE.

WHEREAS, the United States Department of Housing and Urban Development (HED) requires a Five-Year Consolidated Plan and One-Year Action Plan be developed and submitted as an application for planning and funding of Community Development Block Grant (CDBG), HOME Investment Partnerships (HOME), and Emergency Solutions Grant (ESG) Programs; and

WHEREAS, the overall goal of the community planning and development programs covered by this plan is to develop viable communities by providing decent, affordable housing, a suitable living environment and expanding economic opportunities for low and moderate-income persons; and

WHEREAS, the Five Year Consolidated Plan for FY 2016-2021 and an updated Citizen Participation Plan were adopted by the Board of County Commissioners on June 28, 2016; and

WHEREAS, the FY 2020-2021 One-Year Action Plan will serve as a planning document for Collier County; an application for federal funds under the HUD formula grant programs; a strategy to be followed in carrying out the HUD programs; and an action plan that provides a basis for assessing performances.

NOW, THEREFORE BE IT RESOLVED BY THE BOARD OF COUNTY COMMISSIONERS OF COLLIER COUNTY, FLORIDA, that:

The Board of County Commissioners of Collier County approves the FY2026-

(?)

Page 1 of 5

2021 One-Year Action Plan for the CDBG, HOME, and ESG Programs, which is attached and incorporated herein by reference, and authorizes the Community and Human Services Division to transmit the Plan to the proper funding authority and take all necessary actions for implementation of the CDBG, HOME, and ESG programs.

- The Chairman of the Board of County Commissioners is authorized to execute certifications, SF 424 documents, and Funding Approval Agreements pertaining to the Action Plan on behalf of the County.
- 3. The One-Year Action Plan sets forth the dollar amounts and project descriptions for each activity to be funded by the CDBG, HOME, and ESG Programs. A description of the proposed projects and associated recommended funding is included in the Executive Summary and incorporated by reference. Accordingly, the Subrecipient Agreements for all CDBG, HOME and ESG projects will be subsequently entered into on behalf of Collier County and each such Agreement is hereby acknowledged as providing for a valid public and worthwhile County purpose.
- 4. SEVERABILITY. If any section, sentence, clause or phrase of this Resolution is held to be invalid or unconstitutional by any court of competent jurisdiction, then said holding shall in no way affect the validity of the remaining portions of this Resolution.
- EFFECTIVE DATE. This Resolution shall become effective upon adoption by a majority vote of the Board of County Commissioners.

This Resolution adopted this 23 day of June 2020, after motion, second and majority vote favoring same.

SIGNATURE PAGE TO FOLLOW

Page 2 of 3



ATTEST;

CRYSTAL K, KINZEL, CLERK

Deputy Clerk Attest as to Chairman's

signature only.

BOARD OF COUNTY COMMISSIONERS OF COLLIER COUNTY, FLORIDA

Burt L. Saunders, Chairman

Approved as to form and legality;

Jennifer A. Belpedio Assistant County Attorney 20130 BOOD



Page 3 of 3



### Community and Human Services Administrative Policy

Recapture Provisions

Revision 1

Effective Date

06/27/2019

Writer: Margo Castorena Sponsor: Cynthia Kemner, Supervisor, Grants Compliance Unit

Kristi Sonntag, Director CHS

### RECORD OF REVISIONS

REVISION	SUMMARY OF REVISIONS	DATE
0	Initial Issue	2/20/2015
1	Update Policy	06/17/2019

### Attachment 2, Policy Change Request

	Policy Number:	=
Policy Name:		
Proposed Change:		
<u> </u>	2000	
-		
Reason for Change:		-
F		
	10	
Submitted By:	*****	

#### HOME RECAPTURE POLICY

Collier County homebuyer program activity uses only the recapture provisions of 24 CFR 92.254(a)(4)(ii). It is believed this approach is the closest to normal market approaches to financing, the easiest for borrowers to understand, enables the deed restriction requirements of the alternative option to be avoided (which we believe constitutes a barrier to private financing participation), and better enables HOMB funds to be marketed in coordination with other private lending. The recapture approach essentially results in an engoing commitment to maintain a homeownership program because of regulatory requirements concerning the reuse of recaptured HOME tunds for homebuyer assistance, while the alternative option of resale restrictions does not require the same level of ongoing assistance beyond the expiration of the affordability period tied to each property.

A purchaser of a property may apply for and be provided HOME assistance subject to the property and said buyer meeting HOMB Program eligibility criteria, a written agreement to comply with program terms and conditions, and the availability of funds. Mortgages include the "principle residence" requirement of the program during the affordability period, faiture of which will constitute a default of a second mortgage.

The recapture option is a mechanism to recapture all or a portion of the direct HOME subsidy if the HOME recipient decides to sell the house within the affordability period at whatever price the market will bear. Forgiveness will be tied to the length of time the homebuyer has occupied the home in relation to the period of affordability and the net proceeds of the sale. The affordability period is based on the amount of HOME funds provided for the property and is forgiven at the rate noted below.

Total Homeowner	<\$15,000	\$15,000 - \$40,000	>\$40,000
Affordability Period	5 years	10 years	15 years

Calculations for the recapture include the HOME Investment, the homebuyer investment, and the net proceeds. These are defined as:

**HOME Investment** – All HOME funds contributed to the unit and any direct subsidies consisting of any financial assistance that reduces the purchase price from fair market value to an affordable price, or otherwise subsidizes the purchase.

**Homebuyer Investment** — The homebuyer's investment consists of the portion of the initial down payment paid by the homebuyer combined with the value of any capital improvements made with the homebuyer's funds. Any improvement must be verified with back-up documentation on specific costs and/or value of the improvement.

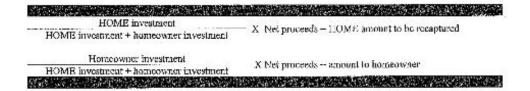
Net Proceeds - The not proceeds of a sale are the sales price minus closing costs and any non-HOME loan repayments.

To allow low-income homebuyers to retain some equity in their property should they need to sell, Cullier County recaptures HOME funds using the following steps:

### Shared Net Proceeds

If the not proceeds are not sufficient to recapture the full HOME investment and enable the homeowner to recover the amount of the homeowners down-payment and any capital improvement investment made by the owner since purchase, CHS may share the not proceeds.

The net proceeds may be divided proportionally as set forth in the following formula:



Sample Note and Mortgage are attached for reference.

Prepared by and return to:
Collier Councy
Community and Haman Services 3359
Taminal Trist F, Suite 214,
Nuples, Ft. 34112

#### (THIS SPACE FOR RECORDING)

(Exempt from Intengible Tax due to Government Funding)

#### HOME INVESTMENT PARTNERSHIP ACT (HOME)

#### SAMPLE SECOND MORTGAGE

THIS SECOND MORTGAGE is made by John and Jane Don, a married comple ("Bortowers"), in layor of COLLIER COUNTY, a political subdivision of the State of Florida, ("Mortgagee"), which terms, "Borrower" and "Mortgagee" shall include heirs, logal representatives, successors, and assigns.

Borrower is justly indebted to Mortgages in the principal start of <u>Elighteen Thomsand Five</u>
<u>Hundred and nor100 Dollars (\$18.500.003</u>, which is evidenced by a Promissory Note (the "Note")
executed by Borrower in favor of Mortgages dated of even date, the terms and conditions of which are
incorporated in and made a part of shis Second Mortgage by reference. This debt is evidenced by
Borrower's Note deted the same date as this Second Mortgage by reference. This debt is evidenced by
Borrower's Note deted the same date as this Second Mortgage by reference. This debt is evidenced by
Borrower's Note deted the same date as this Second Mortgage by reference, This debt is evidenced by
Borrower's Note deted the same date as this Second Mortgage of Stille, sale of property, refinance, or loss of
homestead exemption. This Mortgage will be forgiven at the five (5th) year anniversary date
of mortgage.

TO SECURE to Mortgages the repayment of the indebtedness evidenced by the Note and the performence of the covenants and agreements contained in this Second Mortgage and the Note. Borrower does hereby grant unto Mortgages all that certain land situated in Collier County, Florida, more particularly described as follows:

Logal Description:

Folio Number:

Property Address: 111111 Majestic Circle, Naples Florida 34000

TOCKTHER with all and singular the tenements, hereditaments, essentents, and appartenances, and all structures, buildings, and improvements of every kind and description now or hereafter on the land shall be deemed to be and remain a part of the real Property covered by this Second Mortgage.

All of the above described Property and interests are referred to in this Madgage as the "Promises".

The ferms of this Mortgage do not require that payments be made as long as the makers comply with the following conditions and provisions:

AND SO LONG AS the Prantises are subject to the First, Second, and Second Mortgage, Borrower agrees that Borrower shall perform all of Borrower's obligations under the First, Second, and Second Mortgage, including making payments when due.

FURTHERMORE, any default by Borrower under the First Mortgage shall constitute a default under this Mortgage, and Mortgages shall have all the rights god privileges granted to it under this Mortgage in the event of such default.

ADDITIONALLY, Mortgages, at its election, and without notice to Berrower, may make, but shall not be obligated to make, any payments Borrower has failed to make under the rinst and Second Mortgage. Mortgagee may add the amount of those payments to the principal dobt secured by this mortgage. Any payment by Mortgagee shall not release Borrower from Borrower's

obligations or constitute a waiver of the Borrower's default under this Mortgage.

PROVIDED ALWAYS, that if the Borrower pays to Mortgages the principal sum of the Note, and if the Borrower shall duly, promptly and fully perform, discharge, execute, effect, complete and comply with and abide by each and every one of the stipulations, agreements, conditions and covernors of the Note, this Mortgage and all other documents and instruments executed as further evidence of or as security for the principal sum of the Note, then this Mortgage and the estates and interest granted and created shall coase, terminate and be outland void:

AND BORROWER COVENANTS and agrees to be found by the following terms and conditions until the indebtedness secured by this mortgage is fully repaid:

- f. Performance. The Borrower expressly covenints and agrees to perform, comply with, and abide by, each and every one of the covenants, stipulations, agreements and conditions contained and set forth in the Nete, this Mortgage and any and all other documents and instruments executed and delivered by the Borrower to and in theory of the Mortgages as security for, evidence of, or otherwise connected with, or incidental to, the loan transaction evidenced by the Note and secured by this Mortgage.
- 2. Taxes and Assessments. Borrower shall pay, before they become delinquent, and helive any interest or penalty shall attach thereto, all taxes, assessments, and encumbrances of every nature that may for any and all purposes be payable, assessed, or imposed on the premises or any part thereof. Borrower shall deliver official receipts showing the payment of the same to the Mortgages not later than thirty (30) days following the payment of the same or two (SI days following Mortgages's demand for the official receipts.
- 3. No Waste. Borrower will permit, commit, or suffer no waste, impalment, or deterioration of the premises and will keep and maintain all improvements now and hereafter on the premises in sound condition and good repair. Borrower will not use or cause fac property to be used for purposes contrary to applicable kw. Mortgagee, or its agent, shall have the right and shall be permitted, but shall not be required, at all reasonable times, to other upon and inspect the Mortgaged Property to insure compliance with the foregoing covenants and conditions set forth in this Mortgage.
- 4. <u>Jesurance.</u> Borrower shall keep the dwelling and eligible appurtanant structure(s) haured against loss by the perils of fire, windsteam, extended coverage, vapidalism and mulicious mischief (FEC, V & MM) for an amount equal to or greater than the actual cosh value (ACV) of the said dwelling and appurtanant structure(s). This amount of coverage shall be for the highest insurable value, so that Mortgages is not subject to coinsurance.

In the event of less by reason of hazards, assulties, contingencies and parils for which insurance has been required by the Mortgagoe, the Borrower shall give immediate notice thereof by mult to the Mortgages,

The Bogrower agrees that the County does not in any way represent that the type or amount of insurance is sufficient or adoquate to protect the Bogrower's interests or liabilities but is merely a minimum.

- 5. <u>Right to Care.</u> In the case of any breach under this mortgage, by florrower, mortgagor may, at its option, and after at least 10 days' notice to Borrower, expend any sums necessary to care such default, and all soms so expended shall be secured by this mortgage.
- 6. Acceleration. The whole amount of the indebtedness secured by this mortgage shall become due and psyable, at the option of mortgages: (I) after default in the performance of any covenant in this mortgage which remains uncovered for 15 days after notice of default; or, (ii) upon instinction of forcelesure proceedings of any other mortgage or lies affecting the premises which is not dismissed within 15 days, and this mortgage may be forcelesed, and all costs and expenses of collection by forcelesure or otherwise, including atterney's fees, shall be paid by Borrower and secured by this mortgage.

In the event of a foreclosure, the recapture amount will be reduced on a prerate basis for the time the homeowner has rowned and occupied the home, as referenced by the note, and will be paid from the not proceeds available from the sale of the property. The net proceeds are the sales price minus superior from repayment. If there are no net proceeds from the foreclosure sale, repayment is not required, and the HOME Program teguinements are considered satisfied.

- 7. Occupancy: Ensumbrance Transfer, of Premises. The Borrower shall notify the Mortgagee in the event the Borrower transfers, assigns, sells, refinances, mertgages, does not continuously occupy and homestead the properly as bodyprimary residence, or in any manner disposes of all or a portion of any interest in the premises. Upon the happening of any such event, and at the mortgagee's option, the whole amount of the indebtedness accured by Mortgagee shall become inmediately due and payable. Should the outstanding indebtedness not be satisfied on the date of such transfer, assignment, sale, refinancing, mortgage, non-occupency, or other conveyance, this mortgage may be foreclosed, and all costs and expenses of collection by foreclosure or otherwise, including attorney's fees, shall be paid by Borrower and secured by this mortgage. That so long as the Note secured hereby remains outstanding, the florrower shall neither coluntarity or involuntarity permit the Mortgageat Property or ainy past the cof to become subject to any secondary or other junior fier, mortgage, security interest or concentrance of any kind whatsoever without the prior written exceent of the Mortgages.
- 8. <u>Receiver.</u> In the event suit is instituted to forecless this mortgage or to enforce payment of any claims under this mortgage, mortgages shall be entitled to the appointment of a receiver to take charge of the premises, to collect the roots, and care for the premises, and such appointment shall be made by the count having jurisdiction thereof as a matter of absolute right to the mortgages, and all roots, profits, incomes, issues, and revenues of the premises are hereby assigned and pledged as further accountly for payment of the mortgage indebtedness, with the right on the part of mortgage at any time after default under this mortgage to demand and receive and apply the same to the indebtedness secured by this mortgage.
- 9. <u>Condemnation</u>, its the event all or any part of the promises shall be condemned or taken for public use under powers of eminent domain, the proceeds of any award or claim for damages, direct or consequential, connected with the condemnation or taking are hereby assigned and shall be paid to marriages up to the amount of the outstanding indebtedness secured by this mertgage. Such condemnation or application shall not otherwise affect or vary the obligation of Borrower to pay the indebtedness.
- 10. <u>Notice</u>, Any notices permitted or required under this mortgage or the note shall be in writing, and shall be personally delivered or some by registered or certified mail, postage prepaid, region receipt requested, and addressed as follows or as otherwise designated by written notice given in the same manner:

As to Borrewer;

10585 Majestio Circle Naples, Florida 34---

As to Collier County

Collier County Community and Human Services

Mortgagee: 3299 Tamiami Trall E. Suite 211

Naples, Florida 34112

- Parties Bound. This mortgage shall be binding on and inure to the benefit of the parties and their respective bairs, personal representatives, administrators, successors, and ossigns. Borrower's coverants and agreements shall be joint and several.
- 12. <u>Severability</u>. If any provision of this mortgage shall be invalid or uncaforecable tador applicable law, the remainder of the mortgage which can be given effect without the invalid provision shall be enforceable to the maximum extant permitted by law.
- Hearings, Descriptive headings are for convenience only and shall not control or offset the meaning or construction of any provision of this second mortgage.
- Applicable Law, This mortgage shall be governed by and construed in accordance with the tows of the State of Florida.
- 15. <u>Compliance with Laws</u>. Borrower warrants and represents that Borrower has complied, and shall hereafter comply, with all velid laws, ordinances, regulations, and orders of federal, state, local and other governmental authorities that relate to the premises.
- 16. <u>Remarks Cumulative</u>. In the event of a default in payments due under the note, which remains uncorned for 15 days after the due date, or in the event of any other default under this mortgage which remains uncored for 15 days after notice from mortgages to Borrower, mortgages shall have, in addition to other rights and remedies specified in this mortgage, all other.

rights and remedies provided by law or in the note. The remedies of mortgagee shall be comulative and concurrent, and may be pursued singularly, successively, or together at the sole discretion of mortgagee, which may exercise them whenever necessary. The failure to exercise my right or remedy shall in no event be construed as a waiver or referse of the right or remedy.

Release. Upon payment of all sums secured by this mortgage, mortgages shall release this mortgage without charge to Borrower. Borrower shall pay my recordation costs.

IN WITNESS WITHREOF, this Second mortgage has been given, executed, and delivered by

florrower on the date first above written. In the presence of; Berrower Signature Co-Romower Signature XXXXXXXXXXXX Printed Name Property: 11111 Maiestic Circle Naples. Florida 34 ... STATE OF FLORIDA COUNTY OF COLLIER The foregoing instrument was acknowledged before me this \_\_\_\_\_ day of \_\_\_\_ as identification and who did (did not) take an oath. (SEAL) Signature Typed Name

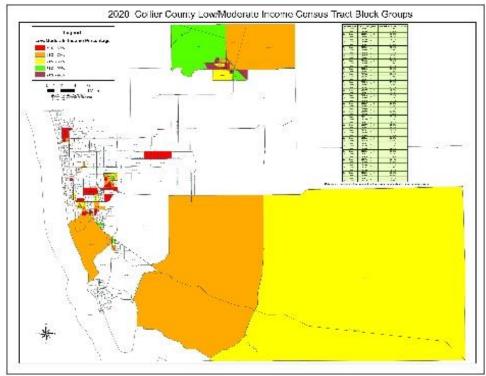
Commission expiration date

GOCUMENTARY STAMPS IN THE AMOUNT REQUIRED BY FLORIDA LAWYING BEEN PURCHASED AND AFFIXED TO THE MONTGAGE OF EVEN DATE WARCH SECURES THIS NOTE.

#### SAMPLE PROMISSORY NOTE HOME INVESTMENT PARTNERSHIPS ACT (HOME)

Borrower(s):	John and Jane D	20		
141111 Majostic Circle (Property Address)	e,	Naples (Clty)	FL (State)	34 (//ip)
FOR VALUE RECEIVED of COLLIER COUNTY, offset holder of this Nor Electida 3411 (\$18.500.00), without is the Promissory Note. If receive payments under	APOLITICAL SUBB e (the "Lender"), what 12 the principal su derest, unless officers he Lender or anyone	DIVISION OF VIIII use address is <u>1333</u> m of <u>Eishtean 1</u> rise required below who takes this No	STATE OFFLORE 9 Tamiemi Trail B. Bousand Five 14b 2 (/We understand de by transfer and a	DA, or wany Suite 211, radice and ne/100 Dallas foother Lender may fransfer
				with, then the outstanding can the date of the executed
date as this nate and e	executed by maker	in favor of the Co	ounty. If the borre	County, Florids, of the same wer accupies the property n of martnage will be alven
their primary residence, third mortgage securing the and payable. Scales	, or in any martner d ; this note during the tting of the Property is request is submitte	isposes of all or a five (5) year term, is not allowed. A re	portion of the grop the entire principle :finance of the first	vand homestead property as erry when is singled to the bulance of this note will be mort page may be approved econdance with the Collier
beginning on the ansive Such proof shalf includ occupancy is a timely o	rsary of the first-year let proof of humbsac manner, the County	cocupately and an ad exemption. If t may contract with	nually and the end the Maker fails to an independent tir	er County or an annual basis of the amortization period, provide sintlement proof of the company to perform the ut of this Fromissory Note.
note to be due and paya accordance with any of t	ble forthwith in advi he teens, covenants,	ance of the meturit or conditions of the	y date upon the lad accord modgages	tire principal balance of this lars of maker to prefern in recording this note, to pay any discible HOWH regulation.
HOOLEJER COUNTY is kessuches dious as described above, it will be vertice right to be paid backfor all its costs and expanses, including reasonable attentions? House				
Maker may prep	ray this note in Juli, a	er in part, at any tir	no without ponalty	
This note and the laws of the State of Flor		ring it shall be gov	erned hyand const	rued in accordance with the
	es, whether suit be bro	aught or not, for the		to pay all costs, including coursel employed to collect
This note is governed	and construct in s	ccordance with G	io Jawa of the Sto	rte of Florida,
Bonower Signali	ne			nte
Co-Borrower Sig	nature		D	rie .

### Collier County Low/Moderate Income Census Tract Block Group map & list



Data Source: Housing, Grant Development, and Operations, Community and Human Services Division

Annual Action Plan 2020 38

OMB Control No: 2506-0117 (exp. 06/30/2018)

COUNTYNAME	CENSUS TRACT	BLGRP	Low (Mandamenta La como Bossophus)
Collier County	011301	1	Low/Moderate Income Percentage 96.59%
Coller County	011204	3	95.68%
Col ier County	011205	2	95.15%
Coller County	011302	2	93.48%
Colier County	011204	2	92.91%
Colier County	011400	2	90.79%
Collier County	010802	3	90.44%
Coller County	010802	4	87.76%
Collier County	011302	1	86.45%
Colier County	030420	1	85.61%
Collier County	030410	3	84.98%
Collier County	011205	1	82.83%
Collier County	011103	2	81.36%
Collier County	010420	3	79.38%
Collier County	000700	1	78.95%
Collier County	010410	1	78.40%
Collier County	010501	2	77.55%
Collier County	010505	3	77.42%
Collier County	010504	3	77.38%
Collier County	011102	2	75.77%
Collier County	010410	2	75.75%
Collier County	011204	1	74.35%
Collier County	010701	3	73.33%
Collier County	010508		71.56%
Collier County	011301	2	71.53%
Collier County	010420	2	69.53%
Collier County	010702	1	69.14%
Collier County	010419	1	68.93%
Collier County	010110	3	68.65%
Collier County	010803	3	67.48%
Collier County	011400	3	66.57%
Collier County	011302	3	65.65%
Collier County	011105	2	65.04%
Collier County	010211	1	63.69%
Collier County	030509	2	62.44%
Collier County	030417	3	62.07%
Collier County	011400	1	61.85%
Collier County	010701	1	61.83%
Collier County	010701	2	61.35%
Collier County	011102	3	61.20%
Collier County	010803	1	60.98%
Collier County	010411	1	60.82%
Collier County	010110	4	59.93%
Collier County	011301	3	59.79%
Collier County	030507	1	59.14% 60.00%
Collier County	020506	1	58.68% 59.42%
Collier County Collier County	010411	3	58.42% 58.42%
Collier County	010411	2	56.91%
Coller County	010701	4	55.14%
Coller County	010300	2	54.19%
Coller County	010108	2	53.55%
Collier County	010501	1	53.19%
Coller County	020505	2	52.93%
Collier County	010105	1	52.60%
Collier County	010506	1	51.94%
ColierCounty	010412	3	51.57%
Colier County	010510	2	51.06%
	20000		33,300

Data Source: Housing, Grant Development, and Operations, Community and Human Services Division

Annual Action Plan 2020 39

OMB Control No: 2506-0117 (exp. 06/30/2018)

# **Grantee SF-424's and Certification(s)**

		****		OMB Number 4040-6064 Expiration Date: 12/31/2019
Application for	Federal Assista	ince SF-424		80 - 1
1. Type of Solanie Teappication Application Changed/Con		2 Type of Application: New Continuation Revision	" (Revision, select appropriate testing); "Other (Specify):	
* 3. Date Received:		4 Applicant Identifier: B-10-UC-120015		
Ce. Foderal Entity to	ienliser		5b. Federal Award Identifier	
State Uso Only;	(C)	7.0	7	renes - avroses -
6. Date Received by	/ Sleje;	7. State Application	r Idanifla:	
8. APPLICANT INF	ORMATION:			-
* a. Legal Name.	Collier County	Board of County Com	derioners	
* b. EmployenTaxpa 5-26-20055-к	ger foedli out out bou	ober (EIN/TIN):	*c. Organizational DUNS:	
d. Address:	3833541			
' Street: Street: ' City County/Parish: ' State.	3039 Tamiani Public Servic Magles Collier Count	us Division Suite 21		
Frovince: 1 Country:			USA: LR TED GUARGS	
12p / Postal Code:	3/112-5361			
e. Organizational (	Jult:			2
Department Name.			Disign Name:   Community and Human Services	
Pretix: its Middle Name:		. =1:% Mar.	nations involving this application:	
Tite: Director,	Community and	Junan Servicee		
Organizational Affile	tion			
* Telephone Number	200-252-2086		Fax Number: 239-252 2638	
'Smel; Kristi	Sonntageon:lie	ranatyO .gov		



The state of the s	
* 8. Type of Applicant 1: Select Applicant Type;	
B: County Government	
Type of Applicant 2: Sicked Applicant Type:	
Type of Applicant 3: Select Applicant Typo:	
	1
* Other (spensy):	
* 10. Name of Federal Agency:	
O.S. Department of Monsking & Ochan Devalopment	
11. Catalog of Federal Dumostic Assistance Number:	
14. 318	
CFDA Title:	
Rotit spent Grant Class	
12. Funding Opportunity Number:	
Tida:	
13. Competition Identification Number:	
Tile:	
File:  14. Areas Affected by Project (Chics, Countles, States, etc.):	ew Asautinochi
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a Applicant	onal Districts Of:		
a Applicant	10, 25		'b Program/Project 14, 25
Mach an additio	onal list of Program/Project	Congressional Districts if need	ided.
		Add A	Attachment Delete Attachment View Attachment
17. Proposed P	roject:		
a. Slart Date:	10/01/2020		*b. End Date: 09/30/2021
18. Estimated F	Funding (\$):		
' a. Federal		2,734,898.00	
b. Applicant			
' c. State			
d. Local			
e, Other	500 TELEVISION		
f. Program Inco	orre	20,000.00	
g. TOTAL		3,756,898.00	ii
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	tifications and assurances ins	i, or an internet site where yo	rou may obtain this list, is contained in the environmement or agency
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#### ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Certain of those assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified,

As the duly authorized representative of the applicant; I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- Will give the awarding agency, the Comptroller General
  of the United States and, if appropriate, the State,
  the right to examine all records, books, papers, or
  documents related to the assistance; and will establish
  a proper accounting system in accordance with
  generally accepted accounting standards or agency
  directives.
- 3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
- Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPIM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
- Will comply with the Load-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race. color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as smended (29) U.S.C. §794), which prohibits discrimination on the basis of handlcaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, rotating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1958 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statue(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statue(a) which may apply to the application.

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Standard Form 424D (Rev. 7-97) Prescribed by OMB Circular A-102





- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniterm Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-846) which provide for fair and equilable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a to 276a-7), the Copeland Act (40 U.S.C. §278c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wellands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

- Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amonded (42 U.S.C. §57401 at seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of ondangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 at seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 108 of the National Historic Preservation Act of 1966, as amended (18 U.S.C. §470), EO 11593 (identification and protection of historic preperties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
- 18 Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 108(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE 1 21 01
	Chairman May Last
APPLICANT ORGANIZATION	DATE SUBMITTED
Collier County Board of County Commissioners	1 6 23 2020

Attest as to Chairman's signature only.

· Sulance

Approved as to form and legality

Assistant County Attorney



Application for I	Federal Assisti	ance SF-424	Control of the light
1 Type of Submissi ☐ Preapplication ☑ Application ☐ Changod/Corre		• 2. Type of Application  New Continuation Revision	* If Revision, asked appropriate letter(s):  * Other (Specify)
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State Use Only:			· · · · · · · · · · · · · · · · · · ·
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8. APPLICANT INFO	RMATION:		
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15. Employer/Taxpay			'c. Grganizskional DUNS
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* State			Fig Florida
Province: * Country			
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* Telephone Number:	239 252 2486		Fax Muniber: 239 - 252 2638
'Emai: Kristi.a	onntag@cpllic	requatyfi.gov	



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*9. Type of Applicant 1: Salact Applicant Type:	
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B: County Severament	
Type of Applicant 2: Select Applicant Type:	
Type of Applicant 3: Scient Applican; Tyy-4-	
* Other (specify):	
* 10. Name of Federal Agency:	**************************************
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11. Catalog of Federal Cornestic Assistance Number:	
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Entitlement Grant - 595	
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Title  14. Areas Affected by Project (Cities, Counties, States, etc.):    Add Attachment   Delete Affectment    15. Descriptive Title of Applicant's Project:	-View Atlant ment

	ional Districts Of:		
a Applicant	14, 25		*b. Program/Project 11, 25
Attach an addit	tional list of Program/Project	Congressional Districts if need	led.
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17. Proposed	Project:		
s, Start Date:	10/01/3020		* b. End Date: 09/30/2021
18. Estimated	Funding (S):		
a. Federal		205,067.09	
b. Applicant			
o State			
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f. Program in	come	0.00	
g TOTAL	Same to	208,067,00	
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* The list of cr pecific instruct	artifications and assurance ions.	a, or an internet site where yo	ou may obtain this list, is contained in the announcement or agency
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## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintetning the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Burget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

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NOTE: Cortain of these assurances may not be applicable to your project or program. If you have questions, please contact the assurances. If such is the case, you will be notified,

As the duly authorized representative of the applicant; I certify that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- Will give the awarding agency, the Comptroller General
  of the United States and, if appropriate, the State,
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  documents related to the assistance; and will establish
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  generally accepted accounting standards or agency
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- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

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- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-846) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Foderal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds,
- 13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1869 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wellands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11989; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

- Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§74D1 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (15 U.S.C. §5/1271 et seq.) related to protecting components or potential components of the national wild and scenic fivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Prescriation Act of 1966, as amended (18 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
- Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victime Protection Act (TVPA) of 2020, as amended (22 U.S.C. 7104) which prohibits grant sward reciplents or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL.	TITLE
The symbol in the second second	Chairnan J. Hall
APPLICANT ORGANIZATION	DATE SUBMITTED
Collier County Board of County Commissioners	6 23 2020
ATTEST CRYSTAL K KINZEL, CLERK  CRYSTAL K KINZEL, CLERK  Attest as to Chairman's  signature only.	Approved as to form and legality  Assistant County Atturbay 58 29 3000



Application	r for Federal Assista	nep \$E.424	TATAL
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13. Date Recei	ivec.	4 Applicant Identifier B-19-00-126016	
Sa. Federal Ent	fily Identifier		Gb. Federal Award Identifier
State Use Cul	ly.		
6. Date Repeix		7 State Application	I Identifier.
8. APPLICANT	T INFORMATION:		
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d. Address:			
* Street1: Sheet2: * City: County/Parish * State: Province:	Хартея	os Division Suite 2:)	Ple Florida
*Country:			USA: UNITED STATES
* Zip / Postal Co	ode (14112-0561		TOTAL OF THE PARTY
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f. Name and c	ontact information of p	erson to be contacted on m	nations involving this application:
Prefix Middle Name * Last Name:	Ks. Sonnesg	* First Nam	n Kristi
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Other (specify)	
10. Name of Federal Aguncy:	
3.S. Department of Housing & Orban Development	
11. Catalog of Federal Domestin Assistance Kninher;	
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14. Arene Affected by Project (Cities, Counties, States, etc.):	
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15. Descriptive Title of Applicant's Project:	V-900100 0-2 IIV
HOME Program and Administrative Administrate Councywide	
	11
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stach supporting documents as specified in agency instructions,	

16. Congressional Districts Of:	
a. Applicant 16, 25	*b. Program/Project 14, 35
Allach an additional list of Program/Project	Congressional Districts if needed.
	Add Allachment Defete Attachment View Attachment
17. Proposed Project:	
a. Start Dale 10/01/2020	* b. End Dalo: 09/30/2021
18. Estimated Funding (\$):	
a Federal	731,113,90
b Applicant	
c. State	
d Local	
e Olhar	
f. Program income	28,410.00
g TOTAL	759,527.00
c. Program is not covered by E.O. 1	2372.
20. Is the Applicant Delinquent On An Yes No If "Yes", provide explanation and attach 21. "By signing this application, I certificate are true, complete and accurate comply with any resulting terms if I accomply with any resulting terms if I accomply to the complete of	ny Federal Debt? (If "Yes," provide explanation in altachment.)
20. Is the Applicant Delinquent On An Yes No If 'Yes', provide explanation and attach 21. 'By signing this application, I certificate are true, complete and accurate comply with any resulting terms if I accomplete the to criminal, civil, or administration.'  "I AGREE	Add Allactment Delete Attachment View Attachment  by (1) to the statements contained in the list of certifications** and (2) that the statements to to the best of my knowledge, I also provide the required assurances** and agree to cept an award. I am aware that any false, flottlious, or fraudulent statements or claims may
20. Is the Applicant Delinquent On An Yes	Add Allactment Delete Allachment View Allachment  by (1) to the statements contained in the list of certifications" and (2) that the statements to the best of my knowledge. I also provide the required assurances" and agree to cept an award. I am aware that any false, fictilious, or fraudulent statements or claims may strative penalties. (U.S. Code, Title 218, Section 1001)
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## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009 Expiration Date: 02/28/2022

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden ostimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.

NOTE: Corlain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I confly that the applicant:

- Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
- Will give the awarding agency, the Comptroller General
  of the United States and, if appropriate, the State,
  the right to examine all records, books, papers, or
  documents related to the sasistance; and will establish
  a proper accounting system in accordance with
  generally accepted accounting standards or agency
  directives.
- 3. Will not discose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agancy. Will record the Federal awarding agency directives and will include a covariant in the title of real property acquired in whole or in part with Federal assistance funds to assure nondiscrimination during the useful life of the project.
- Will comply with the requirements of the assistance awarding agency with regard to the drafting, roview and approval of construction plans and specifications.
- 5. Will provide and maintain competent and adequate origineering supervision at the construction site to onsure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
- Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
- Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.

- Will comply with the Intergovornmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of ment systems for programs funded under one of the 19 statutes or regulations epocified in Appendix A of OPM's Standards for a Meril System of Personnel Administration (5 C.F.R. 900, Support F).
- Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4601 et seq.) which prohibits the use of fead-based paint in construction or rehabilitation of residence structures.
- Will comply with all Federal statutes relating to nondiscrimination. These include but are not limited to: (a) Tille VI of the Civil Rights Act of 1984 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1668), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29) U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§5101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 eq as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1988 (42 U.S.C. §§3801 et seq.), as amended, relating to nondiscrimination in the sale. rental or financing of housing; (i) any other nondiscrimination provisions in the specific statue(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statue(s) which may apply to the application.

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- 11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-848) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Fodoral and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
- Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
- Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§278a to 278a-7), the Copeland Act (40 U.S.C. §278c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
- 14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
- 15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Polloy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11980; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of

- Federal actions to State (Clean Air) Implementation Plans under Section 176(c) of the Clean Air Act of 1965, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-623); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
- Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
- Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1988, as amended (16 U.S.C. §470), EO 11693 (Identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
- Will cause to be parformed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
- Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
- 20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	TITLE
	Chairnay Affect
APPLICANT ORGANIZATION	DATE SUBMITTED
Collier County Midard of County Counissioners	V 23 3020
ATTEST CRYSTAL K. KINZBL, CLBRK	SF-424D (Rev. 7-97) Back Approved us to form and legality

HOME



#### CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

Affirmatively Further Fair Housing -- The jurisdiction will affirmatively further fair housing.

Uniform Relocation Act and Anti-displacement and Relocation Plan -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, (42 U.S.C. 4601-4655) and implementing regulations at 49 CFR Part 24. It has in effect and is following a residential anti-displacement and relocation assistance plan required under 24 CFR Part 42 in connection with any activity assisted with funding under the Community Development Block Grant or HOME programs.

Anti-Lobbying -- To the best of the jurisdiction's knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
- 3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

Authority of Jurisdiction —The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations,

Consistency with plan --The housing activities to be undertaken with Community Development Block Grant, HOME, Emergency Solutions Grant, and Housing Opportunities for Persons With AIDS funds are consistent with the strategic plan in the jurisdiction's consolidated plan.

Section 3 -- It will comply with section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701u) and implementing regulations at 24 CFR Part 135.

Signature of Authorized Official

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arguature of Authorized Official

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CRYSTAL K. KINZEL, CLERK

Attest as to Chairman's

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Approyed as to form and legality

Assistant County Audyne

#### Specific Community Development Block Grant Certifications

The Entitlement Community certifies that:

Citizen Participation -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

Community Development Plan -- Its consolidated plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that that have been developed in accordance with the primary objective of the CDBG program (i.e., the development of viable urban commutables, by providing decent housing and expanding economic opportunities, primarily for persons of low and moderate income) and requirements of 24 CFR Parts 91 and 570,

Following a Plan -- It is following a current consolidated plan that has been approved by HUD.

Use of Funds -- It has complied with the following criteria:

- 1. Maximum Feasible Priority. With respect to activities expected to be assisted with CDBG funds, it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low- and moderate-income families or aid in the prevention or elimination of slungs or blight. The Action Plan may also include CDBG-assisted activities which the grantee certifies are designed to meet other community development needs having particular organicy because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available (see Optional CDBG Certification).
- 2. Overall Benefit. The aggregate use of CDBG finds, including Section 108 guaranteed Joans, during program year(s) 2020 [a period specified by the grantee of one, two, or force specific consecutive program years], shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period.
- 3. Special Assessments. It will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108 loan guaranteed funds, by assessing any amount against properties owned and occupied by persons of low and moderate income. including any fee charged or assessment made as a condition of obtaining access to such public improvements.

However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public interovements financed by a source other than CDBG funds.

In addition, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the Jurisdiction certifies that it lacks CDBG funds to cover the assessment.

Excessive Force -- It has adopted and is enforcing:

- 1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 2. A policy of enforcing applicable State and local laws against physically barring entrunce to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction.



Compliance with Anti-discrimination laws — The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

Lead-Based Paint -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, Subparts A, B, J, K and R.

Compliance with Laws -- It will comply with applicable laws.

Signature of Authorized Official

Date

Title

Approved as to form and legality

Assistant County Allonics

(3)

## OPTIONAL Community Development Block Grant Certification

Submit the following certification only when one or more of the activities in the action plan are designed to meet other community development needs having particular urgency as specified in 24 CFR

The grantee hereby certifies that the Annual Plan includes one or more specifically identified CDBGassisted activities which are designed to meet other community development needs having particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community and other financial resources are not available to meet such needs.

Approved as to form and legality



## Specific HOME Certifications

The HOME participating jurisdiction certifies that:

Tenant Based Rental Assistance -- If it plans to provide tenant-based rental assistance, the tenant-based rental assistance is an essential element of its consolidated plan.

Eligible Activities and Costs -- It is using and will use HOME funds for eligible activities and costs, as described in 24 CFR §§92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in §92.214.

Subsidy layering -- Before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing;

Signature of Authorized Official

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Approved as to form and legality

Assistant County Allong

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#### **Emergency Solutions Grants Certifications**

The Emergency Solutions Grants Program recipient certifies that:

Major rehabilitation/conversion/renovation — If an emergency shelter's rehabilitation costs exceed 75 percent of the value of the building before rehabilitation, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed rehabilitation.

If the cost to convert a building into an emergency shelter exceeds 75 percent of the value of the building after conversion, the recipient will maintain the building as a shelter for homeless individuals and families for a minimum of 10 years after the date the building is first occupied by a homeless individual or family after the completed conversion.

In all other cases where ESG funds are used for renovation, the recipient will maintain the building as a shalter for homeless individuals and families for a minimum of 3 years after the date the building is first occupied by a homeless individual or family after the completed renovation.

Essential Services and Operating Costs – In the case of assistance involving sheller operations or essential services related to street outreach or emergency shelter, the recipient will provide services or shelter to homeless individuals and families for the period during which the ESG assistance is provided, without regard to a particular site or structure, so long the recipient serves the same type of persons (e.g., families with children, unaccompanied youth, disabled addividuals, or victims of domestic violence) or persons in the same geographic area.

Renovation – Any renovation carried out with ESG assistance shall be sufficient to ensure that the building involved is safe and sanitary.

Supportive Services – The recipient will assist homeless individuals in obtaining permanent housing, appropriate supportive services (including medical and mental health treatment, victim services, counseling, supervision, and other services essential for achieving independent living), and other Federal State, local, and private assistance available for these individuals.

Matching Funds - The recipient will obtain matching amounts required under 24 CFR 576.201.

Confidentiality – The recipient has established and is implementing procedures to ensure the confidentiality of records pertaining to any individual provided family violence prevention or treatment services under any project assisted under the ESG program, including protection against the release of the address or location of any family violence shelter project, except with the written authorization of the person responsible for the operation of that shelter.

Homeless Persons Involvement – To the maximum extent practicable, the recipient will involve, through employment, volunteer services, or otherwise, homeless individuals and families in constructing, renovating, maintaining, and operating facilities assisted under the ESG program, in providing services assisted under the ESG program, and in providing services for occupants of facilities assisted under the program.

Consolidated Plan – All activities the recipient undertakes with assistance under ESG are consistent with its consolidated plan.



Discharge Policy – The recipient will establish and implement, to the maximum extent practicable and where appropriate, policies and protocols for the discharge of persons from publicly funded institutions or systems of care (such as health care facilities, mental health facilities, foster care or other youth facilities, or correction programs and institutions) in order to prevent this discharge from immediately resulting in homelessness for these persons.

Signature of Authorized Official

Attest as to Chairman's

6/23/

nairman

Title

Approved as to form and legality

Assistant County A

(PA)

### Housing Opportunities for Persons With AIDS Certifications

The HOPWA grantee certifies that:

Activities -- Activities funded under the program will meet urgent needs that are not being met by available public and private sources.

Building -- Any building or structure assisted under that program shall be operated for the purpose specified in the consolidated plan:

- For a period of not less than 10 years in the case of assistance involving new construction, substantial rehabilitation, or acquisition of a facility,
- For a period of not less than 3 years in the case of assistance involving non-substantial rehabilitation or repair of a building or structure.

N/A		
Signature of Authorized Official	Date	

ATTEST CRYSTAL K. KINZEL, CLERK BY:

Title

Approved as to form and legality

Assistant County Attorney



#### APPENDIX TO CERTIFICATIONS

## INSTRUCTIONS CONCERNING LOBBYING CERTIFICATION:

## Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.